



MACQUARIE  
University



# Integrity Insight: Financial Crime Summit

4 April 2024

Crown Resorts Sydney

FINANCIAL  
INTEGRITY HUB



MACQUARIE  
University  
SYDNEY · AUSTRALIA



Dear community members,

The inaugural 'Integrity Insight: Financial Crime Summit', focuses on "Preparing for the FATF Mutual Evaluation", and aims to gather stakeholders from regulatory bodies, financial institutions, law enforcement agencies, professional services, and academia to plan and address challenges ahead of the FATF Mutual Evaluation process. This event provides a platform to discuss essential preparatory measures required by Australia as it undergoes the evaluation, focusing on strategic approaches, capacity-building initiatives, and collaborative frameworks.

Through keynote presentations, interactive sessions, and panel discussions, participants will explore topics including trends in financial crime, methodologies for sanctions and confiscation of proceeds of crime, enforcement mechanisms, the risk of Australia being grey-listed (and Tranche 2), and the human impact of financial crime.

Whether you're a seasoned professional, a regulatory expert, or an academic researcher, Integrity Insight offers expert insights and networking opportunities.

The Financial Integrity Hub sets itself apart from other financial crime conferences in Australia. It is the only independent, non-profit academic centre focusing on financial crime prevention.

Sincerely,



Dr Doron Goldbarsht

Associate Professor, Macquarie Law School  
Director, Financial Integrity Hub

# Vision & Mission

Anti-money laundering and counter-terrorism financing efforts are essential to the global fight against crime and terrorism. As criminals and terrorists continue to raise money using a variety of means, including new technologies, countries, and corporations must make it a priority to understand the risks they face and develop comprehensive and effective policy responses.

The Financial Integrity Hub (FIH) delivers pioneering research and actionable ideas in the fight against financial crime. Our research equips Australia and the international community with the knowledge, tools, and insights to understand, identify, and disrupt illicit finance threats. We drive change through our partnership-based approach and viable solutions.

The FIH drives transformative change through interdisciplinary future-focused research that provides cutting-edge solutions to the global challenge of financial crime. Working with our partners, the hub aims to be a leading think tank offering research projects, publications, podcasts, certifications, and special events to enhance financial integrity.

# MEET THE TEAM



**Dr Doron Goldbarsht**  
*Director*

LLB LLM (HUJI) PhD (UNSW), Associate Professor at Macquarie Law School.

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**Armina Antoniou**  
*Advisory Board*

Executive general manager group money laundering risk officer (MLRO) at Crown Resorts.

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**Louis De Koker**  
*Advisory Board*

LLB LLM (UFS) LLM (Cantab) LLD (UFS) FSALS, Professor at the La Trobe Law School.

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**Michael Tooma**  
*Advisory Board*

Michael's practice covers specialist safety-related regulatory regimes. He is the author of numerous books on health and safety law.

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**Stuart Clark AM FAICD**  
*Advisory Board*

Former President of the Law Council and the NSW Legal Aid Commission.



## Tony Prior

### *Advisory Board*

MBA, B.Ec, CAMS, CFE, Head of Financial Crime  
Investigation & Regulatory Reporting Bank of Queensland.

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## Elizabeth Sheedy

### *Advisory Board*

BCOM (UNSW) PhD (MQ), Risk Governance Professor  
Department of Applied Finance of Macquarie Business School.

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## Jeremy Moller

### *Reference Group*

Senior Advisor at Norton Rose Fulbright Australia

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## Sue Bradford

### *Reference Group*

Partner at KPMG in the forensic team. She specialises in delivering complex global Financial Crimes compliance programs, remediations, and transformations.

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## Dr Daley Birkett

### *Research Fellow*

FHEA, LLB (Dunelm), LLM (Leiden), PhD (Amsterdam), is a Senior Lecturer in the Macquarie Law School, where he teaches International Law and Criminal Justice.





## Hannah Harris

### *Research Fellow*

BA (Canterbury) PhD (UNSW), is a Senior Lecturer in Macquarie Law School, where she teaches Corporate Governance Law and Regulation.

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## Jamie Ferril

### *Research Fellow*

BaCJ (Mount Royal), MPS (Connecticut), PhD (Loughborough), is a lecturer at the Australian Graduate School of Policing and Security (CSU), where she is the head of Financial Crime Studies.

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## Ben Scott

### *Researcher*

Ben Scott, BA (Hons) (USyd), LLB (UNE), is a PhD. candidate at Macquarie University and serves as a Specialist Advisor in intelligence within the Commonwealth government.

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## Giang Nguyen

### *Researcher*

LLB (HCMCU), LLM (UNSW), MPA (Kaplan), MA (AML/CTF) (CSU), CAMS, PhD candidate (Macquarie) is a Specialist in the Regulatory Operations branch at AUSTRAC.

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## Isabelle Nicolas

### *Researcher*

BA, JD (USYD) PhD Candidate (Macquarie) is a senior consultant at KPMG and she teaches financial crime at Macquarie Law School.

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# RESEARCH

A  
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## Scholarly books

- Goldbarsht, D., & de Koker, L. (2024, forthcoming) *Financial Crime and The Law: Identifying and Mitigating Risks*, Springer.
- Goldbarsht, D., & de Koker, L. (2024, forthcoming) *Financial Crime, Law and Governance: Navigating Challenges In Diverse Contexts*, Springer.
- Leuprecht, C., & Ferrill, J. (2023) *Dirty Money: Financial Crime in Canada*. Kingston: McGill-Queen's University Press.
- Goldbarsht, D., & de Koker, L. (2022) *Financial Technology and the Law: Combating Financial Crime*, Springer International Publishing.
- Sheedy, E. (2021) *Risk governance : biases, blind spots and bonuses*. Abingdon, Oxon ; Routledge.
- Daley Birkett *Asset Freezing at the International Criminal Court and the United Nations Security Council: A Legal Protection Perspective* (Eleven International Publishing 2021).
- Goldbarsht, D. (2020) *Global counter-terrorist financing and soft law: multi-layered approaches*, Edward Elgar Publishing.

## Scholarly book chapters

- Ferrill, J. & Tiwari, M. (forthcoming, 2023) *Weeding Out Dirty Money: Cannabis Regulations and Financial Crime*. In
- Goldbarsht, D, & de Koker, L. *Combating Financial Crime: Global, National and Institutional Trends*. Springer.
- Goldbarsht, D, "Leveraging AI to mitigate money laundering risks in the banking system". In Bednarz, Z and Zalnierute, M *Money, power and AI* . Cambridge University Press (CUP) 51-69.
- Ferrill, J., Leuprecht, C., Simser, J. (2023). *Introduction to Financial Crime in Canada*. In C. Leuprecht, & J. Ferrill (Eds.), *Financial Crime in Canada*. McGill Queens University Press.
- Hataley, T., & Ferrill, J. (2023). *Organized Crime, Trade-Based Money Laundering, and the Canada-United States Trade Stream*. In C. Leuprecht, & J. Ferrill (Eds.), *Financial Crime in Canada*. McGill Queens University Press.
- Leuprecht, C., & Ferrill, J. (2023). *The State of Financial Crime in Canada*. In C. Leuprecht, & J. Ferrill (Eds.), *Financial Crime in Canada*. McGill Queens University Press.
- Ferrill, J., Hale, G., Sundberg, K. (2023). *Alberta and the Northwest*. In C. Leuprecht & T. Hataley (Eds.), *Security, Cooperation. Governance*. University of Michigan Press.
- Ferrill, J., Nicklin, G., McComas, H., Legrand, T. (2023). *Beyond the border: Trans-Tasman border management in the era of globalization*, in Leuprecht, C., Hataley, T., Brunet-Jailly, E. (eds) *Patterns in Border Security: Regional Comparisons*. Abingdon: Routledge
- de Koker, L., Goldbarsht, D. (2022) "Financial Technologies and Financial Crime: Key Developments and Areas for Future Research" in Goldbarsht, D., de Koker, L. (eds) *Financial Technology and the Law*. Law, Governance and Technology Series, vol 47. Springer
- Goldbarsht, D., de Koker, L. (2022) "From Paper Money to Digital Assets: Financial Technology and the Risks of Criminal Abuse" in Goldbarsht, D., de Koker, L. (eds) *Financial Technology and the Law*. Law, Governance and Technology Series, vol 47. Springer
- Daley Birkett 'Asset Recovery at International(ised) Criminal Tribunals: Fines, Forfeiture, and Orders for Reparations' in Nina H.B. Jørgensen (ed), *The International Criminal Responsibility of War's Funders and Profiteers* (Cambridge University Press 2020) 455–480.

*You can read more about the Financial Integrity Hub's research on our website.*

# RESEARCH

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## Other research & submissions

- Goldbarsht, D., de Koker, L. (2023). Submission to the Attorney General's Department on the Anti-Money Laundering and Counter-Terrorism Financing Act Reforms.
- Ferrill, J., Tiwari, M., Allan, D. (2023). Submission to the Attorney General's Department on the Anti-Money Laundering and Counter-Terrorism Financing Act Reforms. Financial Crime Studies Team.
- Scott, B., and Simpson, A. (2023). Submission to the Attorney General's Department on the Anti-Money Laundering and Counter-Terrorism Financing Act Reforms.
- Goldbarsht, D., Clark, S. (2023) "Modernising Australia's anti-money laundering regime: Extending AML obligations to lawyers" Law Society of NSW journal. <https://lsj.com.au/articles/modernising-australias-anti-money-laundering-regime-extending-aml-obligations-to-lawyers/>
- Ferrill, J., Tiwari, M. (2023). Australia is awash with dirty money – here's how to close the money-laundering loopholes. The Conversation. <https://theconversation.com/australia-is-awash-with-dirty-money-heres-how-to-close-the-money-laundering-loopholes-206606>
- Goldbarsht, D "Tranche II is coming: legal professionals as gatekeepers" Feb 2022, Law Society of NSW journal. 85, p. 71.



*You can read more about the Financial Integrity Hub's research on our website.*



# RESEARCH

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## Refereed Journal Articles

- Goldbarsht, Doron, Sheedy, Elizabeth, 'Money Laundering and the Risk in the Risk-Based Approach: The Australian Context', *Monash University Law Review*, 50 (1) 2024 (forthcoming)
- Goldbarsht, Doron, Benson, Katie, 'From later to sooner: exploring compliance with the global regime of anti-money laundering and counter-terrorist financing in the legal profession', *Journal of Financial Crime* 2024 (forthcoming).
- Tiwari, M., Ferrill J. (2023). Evolution of Cannabis Regulations and their Overlooked Link with Money Laundering: Australia as a Critical Case Study. *Journal of Money Laundering Control*, ahead of print.
- Tiwari, M., Ferrill, J., Allan, D. (2023). Trade-Based Money Laundering: A Systematic Literature Review, *Journal of Accounting Literature*, ahead-of-print.
- Goldbarsht, D. (2023), "Adapting confiscation and anti-money laundering laws to the digital economy: exploring the Australian interplay between proceeds and technology", *Journal of Money Laundering Control*, ahead-of-print.
- Hannah Harris, 'Corporate liability within the IACC framework – a proposal for enhanced corporate accountability', 2023, In: *Transnational Criminal Law Review*. 2, 1, p. 74-89 16
- Daley J. Birkett, 'Recovering Assets at an International Anti-Corruption Court: Cautionary Tales from Rome, The Hague, and the Field' (2023) 2(1) *Transnational Criminal Law Review* 59.
- Tiwari, M. & Ferrill, J. (2023). Factors Influencing the Choice of Technique to Launder Funds: The APPT Framework, *Journal of Economic Criminology*, 1: 1-11.
- Goldbarsht, D., & Harris, H. (2022). Check the Balance: Is the Doctrine of Separation of Powers Sufficient in the Context of Intergovernmental Organisations? A Case Study of Australia's AML/CTF Financing Framework. *Federal Law Review*, 50(4), 527-557
- Goldbarsht, D. (2022), "Virtual currencies as a quasi-payment tool: the case of frequent-flier programs and money laundering", *Journal of Money Laundering Control*, 25(1) 150
- Doron Goldbarsht, Hannah Harris 2020, 'Transnational regulatory networks: a study in compliance and legitimacy in counter-terrorist financing', *Journal of Financial Crime* 27(3) 855
- Daley Birkett 'Challenging United Nations Security Council- and International Criminal Court-Requested Asset Freezes in Domestic Courts: Views from the United Kingdom and Italy' (2022) 55(2) *Israel Law Review* 107–126 (with Dini Sejko).
- Goldbarsht, D., Balasingham, B., Moller, J. (2021) "Open banking in Australia: Competition and money laundering, risks and benefits" *Journal of Banking and Finance Law and Practice* 32(2) 59.
- Daley Birkett 'Distilling the Aims of International(ized) Criminal Tribunals' Asset Freezing Powers through the Crucible of *Prosecutor v Félicien Kabuga*' (2021) 5 *PKI Global Justice Journal* 38.
- Doron Goldbarsht 2020, 'Reverse engineering legal professional privilege in a globalising world – the Australian case', *Journal of Money Laundering Control* 23(3) 677.
- Daley Birkett 'Coexistent but Uncoordinated: Asset Freezing Measures at the International Criminal Court and the UN Security Council' (2020) 20(6) *International Criminal Law Review* 983–1025.
- Doron Goldbarsht 2020, 'Am I my corporate's keeper?: Anti-money laundering gatekeeping opportunities of the corporate legal officer', *International Journal of the Legal Profession* 29(3) 261.
- Daley Birkett 'Managing Frozen Assets at the International Criminal Court: The Fallout of the Bemba Acquittal' (2020) 18(3) *Journal of International Criminal Justice* 765–790.
- Daley Birkett 'Asset Freezing at the European and Inter-American Courts of Human Rights: Lessons for the International Criminal Court, the United Nations Security Council and States' (2020) 20(3) *Human Rights Law Review* 502–525.
- Doron Goldbarsht 2017, 'Who's the legislator anyway? How the FATF's global norms reshape Australian counter terrorist financing, laws', *Federal Law Review* 45(1) 127.

# RESEARCH

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## Projects and Presentations

- Doron Goldbarsht "Artificial Intelligence and Financial Integrity in the Banking System", Bank of China, Sydney 13 March 2024.
- Hannah Harris, "Mapping Innovative Criminal law mechanisms to deter and punish Illegal Logging in PNG - AML/CTF Frameworks and Beyond" October 2023 - March 2024, for ActNow!PNG
- Daley J. Birkett Participant, Expert Meeting, 'Financing of reparations owed to victims of serious violations of human rights and humanitarian law', convened by the United Nations Special Rapporteur on the promotion of truth, justice, reparation and guarantees of non-recurrence (29 March 2023).
- Goldbarsht, D., Cambridge International Symposium on Economic Crime, Cambridge, UK, Speaker, 2023
- De Koker, L., Cambridge International Symposium on Economic Crime, Cambridge, UK, Speaker, 2023
- Ferrill, J., Cambridge International Symposium on Economic Crime, Cambridge, UK, Speaker, 2023
- Goldbarsht, D., ACAMS 3rd Annual AML & Anti-Financial Crime Conference – Australasia, Speaker, June 2023
- Goldbarsht, D., ASSA Workshop: Proceeds and technology – Adapting confiscation and related AML laws to the digital economy (University of Queensland), Speaker, June 2023
- Ferrill, J., University of Plymouth Annual Criminology Conference, Plymouth, UK, Keynote speaker, 15 June 2023
- Goldbarsht, D., Transform Finance: Fincrim Summit, Speaker, May 2023
- Hannah Harris, Grant Walton, George Carter, Ryan Edwards, "Strengthening Pacific Integrity – mapping opportunities for anti-corruption research leadership, knowledge exchange and strategic engagement", co-investigator with funding from ANU, November 2023-November 2023
- Hannah Harris, Liz Campbell, "An Empirical Analysis of the Failure to Prevent Foreign Bribery Offence: Is F2P an effective model of corporate accountability?", Workshop: Recreating Corporate Accountability, held in Prato, Italy on 3 and 4th September 2023 and hosted by Monash University as part of the Monash Network of Excellence on Enhancing Corporate Accountability.
- Daley J. Birkett, Roundtable Consultation, 'Asset recovery: States Parties' "readiness" to comply with ICC cooperation requests', organised by Redress (6 September 2023).
- Ferrill, J., Presentation to Center for Advanced Security, Strategic, and Integration Studies, Bonn, Germany, Speaker, 30 Mar 2023
- Ferrill, J., Association for Borderlands Studies, Eilat, Israel, Speaker, 13 Feb 2023- 18 Feb 2023
- De Koker, L., Cambridge International Symposium on Economic Crime, Cambridge, UK, Speaker, 2022
- Goldbarsht, D., Cambridge International Symposium on Economic Crime, Cambridge, UK, Speaker, 2022
- Ferrill, J., Cambridge International Symposium on Economic Crime, Cambridge, UK, Speaker, 2022





# ENGAGEMENT

## Podcast

The FIH hosts monthly podcasts featuring speakers with expertise in financial crime and compliance. In each episode, PhD candidate Isabelle Nicolas sits down with global or domestic financial crime experts to share insights in an accessible, digestible way. The below episodes can be found on our website, LinkedIn page, and YouTube:

- Transnational Crime and Sanctions, with **Anton Moiseienko**
- Financial Crime Measures in the UK, with **Edward Kitt**
- Considering Compliance, with **Luke Raven**
- Financial Crime in Australia, with **Nathan Lynch**
- Tranche II and the Real Estate Industry, with **Neil Jeans**
- Risks with ML/TF Risk assessments, with **Anthony Quinn**
- Recruitment trends across financial crime, with **Sophie Watson**
- Considering AML/CTF trends, with **Daniel Mossop**
- Considering Trade-Based Money Laundering, with **Jamie Ferrill**
- Increasing directors' responsibilities, with **Elizabeth Sheedy**

We thank **Identitii** for partnering with us on this podcast series.



We are thrilled to thank **Certified financial crime experts (CFCE)** for their generous support of our upcoming podcast episodes.

CFCE has been an invaluable partner in our mission to provide valuable insights and resources to our audience. Our collaboration with CFCE has resulted in the development of a series of online modules tailored to support individuals in enhancing their capabilities across the AML/CTF global and domestic regimes. These modules have been meticulously designed to cater to professionals working in diverse sectors, including public service, corporate environments, and law enforcement agencies. By participating in these modules, individuals can gain a competitive edge in their careers and contribute more effectively to their organisations and communities. We firmly believe that CFCE certification will empower graduates to make meaningful contributions and drive positive change within their respective spheres.

Once again, we extend our sincere appreciation to CFCE for their collaboration and support. Together, we are making significant strides in advancing knowledge and capabilities in the realm of AML/CTF.

# Full Day Agenda

8.30-9.30	Registration and welcome morning tea		
9.30-9.45	Opening Remarks		
Time	Item	Name	Organisation
9:45-10:00	Master of Ceremonies	Armina Antoniou	Group EGM Financial Crime Risk, Crown Resorts
<b>PANEL 1</b>			
10:00	Navigating Tranche 2 and Grey Listing: Implications and Strategies	Dr Doron Goldbarsht	Macquarie Law School & Financial Integrity Hub
		Daniel Mossop	National Manager of the Reform Policy and Mutual Evaluation Branch, AUSTRAC
		Juliana Warner	President Elect, Law Council of Australia
		Neil Jeans	Partner - Risk Consulting, Grant Thornton
	Chair	Jeremy Moller	Senior Advisor - Risk Advisory, Norton Rose Fulbright
11:00	Break		
<b>PANEL 2</b>			
11:15-11:35	The Human Impact of Financial Crime	Anna Bowden	CEO The International Centre for Missing and Exploited Children (Australia)
		Wendy Ward	Vice President of International Oversight - Global Financial Crime Compliance at American Express
		Luke Raven	Top Voice   Fraud & Financial Crime Fighter
		Matt Fehon AM	Advisor, Transparency International (Australia)
	Chair	Dr Hannah Harris	Macquarie Law School & Financial Integrity Hub
12:15	Lunch		
<b>PANEL 3</b>			
13:15	Trends in Financial Crime	John Fogarty	Executive General Manager, Financial Crime Compliance and Group MLRO Officer for Commonwealth Bank of Australia
		Dr Nathan Newman	Executive Intelligence and Risk Assessment, National Australia Bank
		Kate Ferry	Commander Investigations Eastern Command, Australian Federal Police

# Full Day Agenda

Time	Item	Name	Organisation
		Dr Jamie Ferrill	Charles Sturt University & Financial Integrity Hub
	Chair	Tim Goodrick	Director Forensic, KPMG
14:15	Break		
<b>PANEL 4</b>			
14:30	Uncovering Ill-Gotten Gains: Perspectives on Confiscating Proceeds of Crime	Gary Hughes	Barrister Britomart Chambers (NZ)
		Edward Kitt	Illicit Finance Policy Lead, British High Commission Canberra
		Amy Fulton	Deputy General Counsel & Coordinator, Criminal Assets Litigation Policy, Australian Federal Police
		Dr Derwent Coshott	University of Sydney Law School
	Chair	Dr Daley Birkett	Macquarie Law School & Financial Integrity Hub
15:30	Break		
<b>PANEL 5</b>			
15:45	Pathways in Financial Integrity: Navigating Careers in Financial Crime	Sharon Thorpe	Chief Operating Officer, Financial Crime, Commonwealth Bank of Australia
		Stefanie Von Westphalen	Head of Financial Crime Compliance/MLRO at ING Australia
		Dr Rachel Southworth	Financial Crime Risk & Compliance Professional
	Chair	Professor Lise Barry	Macquarie Law School, Dean
16:45-17:30	Drinks and Nibbles		



## PANEL 1

### Navigating Tranche 2 and Grey Listing: Implications and Strategies

10:00 - 11:00 am

**Keynote:** Dr Doron Goldbarsht

**Chair:** Jeremy Moller

**Speakers:** Daniel Mossop, Julia Warner, Neil Jeans

In 2023, the Attorney-General's Department released a consultation paper that proposes extending the Australian AML/CTF regime to certain high-risk professions, including lawyers, accountants, trust and company service providers, real estate agents, and dealers in precious metals and stones (also known as tranche-two entities). Out of more than 200 jurisdictions, Australia is now one of only 5 jurisdictions in the FATF Global Network, alongside China, Haiti, Madagascar, and the United States, that do not regulate tranche-two entities. There is a risk that Australia may be greylisted if it does not demonstrate effective compliance with FATF Recommendations which require States to regulate high-risk professions.

Greylisting by the Financial Action Task Force (FATF) prompts countries to address AML/CTF deficiencies through committed reforms outlined in an action plan. Though no official sanctions follow greylisting, it can bring negative economic and reputational impacts, affecting a nation's financial sector and ability to trade. The most severe outcome is potential blacklisting, incurring mandated sanctions and substantial reputational harm for persistently non-compliant nations. Grey-listed countries often demonstrate political commitment, with many improving and exiting within five years.

## PANEL 2

### The Human Impact of Financial Crime

11:15 - 12:15 pm

**Keynote:** Anna Bowden

**Chair:** Dr Hannah Harris

**Speakers:** Wendy Ward, Luke Raven, Matt Fehon AM

Money laundering, often depicted solely through its financial and legal ramifications, has a profound and often overlooked human impact.

One of the most significant human impacts of money laundering is its contribution to the proliferation of organised crime and illicit activities, including drug trafficking, human trafficking, terrorism, and corruption. These criminal enterprises thrive on the proceeds of their illicit activities, which are laundered through legitimate financial channels to conceal their origins. As a result, communities are plagued by increased violence, exploitation, and insecurity, with individuals and families bearing the brunt of these consequences.

Ultimately, combating financial crime requires a concerted effort from all stakeholders, including governments, financial institutions, law enforcement agencies, and civil society organisations. By prioritising the protection of individuals and communities against financial exploitation and fostering a culture of accountability and integrity, we can mitigate the human impact of financial crime and build a more resilient and equitable society for all.

## PANEL 3

### *Trends in Financial Crime*

**1.15 -2:15pm**

**Keynote:** John Fogarty

**Chair:** Timothy Goodrick

**Speakers:** Dr Nathan Newman, Kate Ferry, Dr Jamie Ferrill

In recent years, financial crime has evolved in complexity and sophistication, presenting unprecedented challenges to global economies and regulatory frameworks. Emerging trends in financial crime include the proliferation of cyber-enabled fraud, the exploitation of digital currencies for money laundering, and the increasing use of sophisticated techniques to disguise illicit funds within legitimate financial transactions.

These trends underscore the critical importance of robust AML measures in safeguarding the integrity of the financial system and combating illicit financial activity. Effective AML frameworks play a pivotal role in detecting and deterring financial crime by establishing rigorous due diligence procedures, monitoring transactions for suspicious activity, and facilitating information sharing among financial institutions and law enforcement agencies. Moreover, AML compliance not only protects institutions from reputational damage and regulatory penalties but also fosters trust and confidence in the financial sector, promoting stability and resilience in the face of evolving threats. As financial crime continues to evolve, maintaining vigilance and investing in AML capabilities are essential to preserving the integrity of the global financial system and safeguarding the interests of individuals, businesses, and society at large.

## PANEL 4

### Uncovering Ill-Gotten Gains: Perspectives on Confiscating Proceeds of Crime

2:30-3:30pm

**Keynote:** Gary Hughes

**Chair:** Dr Daley Birkett

**Speakers:** Edward Kitt, Amy Fulton, Dr Derwent Coshott

Uncovering ill-gotten gains and confiscating proceeds of crime represents a critical aspect of law enforcement and regulatory efforts in combating financial crime. Beyond financial recovery, confiscation serves as a deterrent against future criminal activity, disrupts criminal enterprises, and promotes justice for victims.

Law enforcement agencies, prosecutors, and financial investigators employ a variety of tools and techniques, including asset tracing, forfeiture laws, and international cooperation mechanisms, to identify and recover illicit assets hidden within complex financial structures. However, challenges such as cross-border jurisdictional issues, legal obstacles, and the rapid evolution of financial crime tactics necessitate ongoing collaboration and innovation in confiscation efforts. Moreover, ensuring transparency, accountability, and due process in asset recovery proceedings is paramount to upholding the rule of law and protecting individual rights. Ultimately, effective confiscation of proceeds of crime requires a holistic approach that combines legal expertise, technological innovation, and international cooperation, with a steadfast commitment to justice and integrity.

## PANEL 5

### Pathways in Financial Integrity: Navigating Careers in Financial Crime

3:45-4.45pm

**Chair:** Professor Lise Barry

**Speakers:** Sharon Thorpe, Stefanie Von Westphalen, Dr Rachel Southworh

As the prevalence of financial crimes continues to grow worldwide, there is an increasing demand for skilled professionals. Navigating careers in financial crime demands a blend of specialised skills, ethical integrity, and a commitment to upholding financial integrity. Professionals in this field play a critical role in safeguarding the integrity of the financial system and protecting against illicit activities such as money laundering, fraud, and corruption.



# MEET THE SPEAKERS



## Armina Antoniou (MC)

Armina brings over 20 years of experience as a risk and legal professional, with a broad cross-section of Australian and global companies. Currently serving as the Group Executive General Manager, Financial Crime Risk at Crown Resorts, Armina also holds a position as a Board Member at the Financial Integrity Hub (FIH).



## Amy Fulton

Amy Fulton is a Deputy General Counsel in Criminal Assets Litigation, part of the AFP-led multi-agency Criminal Assets Confiscation Taskforce (CACT), my was a founding member of the CACT upon its permanent establishment in 2012.



## Anna Bowden

Anna Bowden is the CEO of ICMEC Australia. ICMEC Australia supports cross-industry data and technology solutions to reduce child sexual exploitation (CSE) facilitated online. Anna won the Financial Integrity Hub's Financial Crime Fighter of the Year Award in 2023.



## Dr Daley Birkett

Dr Daley Birkett is a Senior Lecturer at Macquarie Law School, where he teaches International Law and Criminal Justice, and a Research Fellow with the Financial Integrity Hub (FIH). He holds a PhD from the University of Amsterdam as well as LLM (Leiden University) and LLB (Durham University) degrees.



## Daniel Mossop

Daniel Mossop is the National Manager of the Reform Policy and Mutual Evaluation Branch at AUSTRAC. The Reform Policy and Mutual Evaluation Branch at AUSTRAC is dedicated to supporting preparations for legislative reform and the upcoming mutual evaluation in 2025.

# MEET THE SPEAKERS



## Derwent Coshott

Dr Derwent Coshott is a Senior Lecturer in Law at the University of Sydney Law School. He is an internationally recognised expert in trust law who focuses on the use of trusts in asset protection and money laundering strategies; and has been a frequently sought-after commentator by the Australian and international press on AML/CTF issues.



## Edward Kitt

Edward is the UK Government's Illicit Finance Policy lead in Australia, with a regional focus that includes New Zealand and the Pacific Islands. His position forms part of the UK's Serious and Organised Crime Network (SOCnet) based within the Foreign Commonwealth and Development Office.



## Gary Hughes

Garry Hughes is a leading barrister from Britomart Chambers with 27 years of experience and Chair of the International Bar Association's AML & Sanctions Experts committee, Gary brings a wealth of expertise to the table. Gary specialises in regulatory investigations, enforcement, civil disputes, and risk problems across NZ, Australia & the Cook Islands.



## Dr Jamie Ferrill

Dr Jamie Ferrill, Financial Integrity Hub (FIH) Research Fellow and Head of Financial Crime Studies at the Australian Graduate School of Policing and Security, Charles Sturt University. Jamie has nearly a decade of law enforcement experience, having worked for the Canadian federal government before commencing an academic career.



## Jeremy Moller

Jeremy, a Senior Advisor at Norton Rose Fulbright Australia, and Reference Group Member at the Financial Integrity Hub (FIH), has over 15 years of experience working in Australia, the United Kingdom and New Zealand as a lawyer specialising in anti-money laundering, international sanctions and export controls, as well as foreign transparency and influence.

# MEET THE SPEAKERS



## John Fogarty

John is the Executive General Manager, Financial Crime Compliance reporting to the Group's Chief Risk Officer. John commenced in this role in March 2018 and is the Group Money Laundering Reporting Officer for the Commonwealth Bank of Australia and its related entities.



## Juliana Warner

Juliana is a Partner at the leading global law firm, Herbert Smith Freehills (HSF), based in Sydney. She served as the President of The Law Society of NSW in 2021. A member of the Law Society of New South Wales since 1987, Juliana became a director of the Law Council of Australia in 2021 and is its new AML/CTF Working Group Chair. Juliana is also a member of the Legal Services Council.



## Kate Ferry

Kate Ferry is the Eastern Command Commander Investigations for the Australian Federal Police.. In this role, Kate is responsible for the leadership and oversight of the Eastern Command Crime Function and remit that includes Transnational Serious and Organised Crime, Child Protections Operations, Human Trafficking, Cybercrime, Intelligence Operations, Money Laundering, and Financial Crime.



## Professor Lise Barry

Professor Lise Barry was appointed Dean at Macquarie Law School (MLS) in July 2022, having previously served as Deputy Dean (2019 - 2021) and Director of Education (2015-2021). Professor Barry joined MLS in 2005 from a professional background working in mediation and Youth Justice Conferencing and have taught undergraduate and postgraduate courses in Legal Ethics and Alternative Dispute Resolution.



## Luke Raven

Luke has nearly 15 years of experience in financial crime and he holds the distinction of being recognised as a #LinkedIn 'Top Voice in Finance' and is regarded as Australia's foremost (and most outspoken) "AML influencer", boasting a dedicated following of over 22,000 individuals

# MEET THE SPEAKERS



## **Matt Fehon AM**

Matt is a highly regarded corporate advisory specialist and forensic expert who specialises in financial crime, corporate corruption and regulatory investigations, dispute advisory, enforceable undertakings, and risk advisory. A Forensic Accountant with more than 30 years of investigative and consulting experience, Matt has built a reputation for integrity and excellence.



## **Dr Nathan Newman**

Nathan leads NAB's financial intelligence and risk assessment functions, including generating intelligence and typologies, overseeing the development and improvement of financial crime risk assessment methodologies, and the execution of NAB's enterprise-wide financial crime risk assessment.



## **Neil Jeans**

Neil has a unique background in financial crime risk management, spanning over 30 years. This includes working within Law Enforcement investigating financial crime, including domestic and international fraud and money laundering, and also as a Financial Services Regulator, developing financial crime regulation and supervision techniques.



## **Dr Rachel Southworth**

Dr Southworth is a financial crime risk management and compliance professional, and Adjunct Lecturer, the Australian Graduate School of Policing & Security, Charles Sturt University. Rachel has a Ph.D. in 'Financial Crime Control: Risk, Regulation and the Role of the UK Banking Sector' from Cardiff University, Wales.



## **Sharon Thorpe**

Sharon is the Chief Operating Officer for Financial Crime Compliance at the Commonwealth Bank of Australia. During her financial crime career Sharon has held variety of roles, including business facing, regional head and MLRO positions. Sharon is passionate about promoting careers in financial crime and enhancing financial crime capability through the development of pragmatic, creative and effective learning.

# MEET THE SPEAKERS



## **Stefanie Von Westphalen**

Stefanie is the Head of Financial Crime Compliance/MLRO at ING Australia. She previously worked as Executive Manager of Financial Crime Compliance for Westpac and before that, she worked as Executive Manager of Financial Crime Compliance at Commonwealth Bank of Australia.



## **Timothy Goodrick**

Tim is a Director in KPMG's financial crime practice where he specialises in leading projects to design and implement effective systems to combat financial crime, with a focus on financial crime transformation. Tim works across the financial crime ecosystem including customer due diligence, transaction monitoring, targeted operating models, technology, and sanctions.



## **Wendy Ward**

Wendy Ward is the Vice President, of International Oversight – APAC, Latin America & Canada & Global Financial Crime Compliance at American Express. With over 25 years of expertise in anti-money laundering and the counter-financing of terrorism, Wendy, former General Manager of Compliance with AUSTRAC, brings a wealth of experience to the table.





The Financial Integrity Hub (FIH) relies on a network of experts across business, government and higher education. It promotes an interdisciplinary understanding of financial crime by bringing together perspectives from the fields of law, policy, security, intelligence, business, technology and psychology.

The FIH offers a range of services and collaborative opportunities. These include professional education, hosting events to promote up-to-date knowledge, publishing key insights and updates, and working with partners on their business challenges.

If your organisation would benefit from being part of a cross-sector network and having a greater understanding of the complex issues surrounding financial crime, please contact us to discuss opportunities for collaboration: [fih@mq.edu.au](mailto:fih@mq.edu.au).

For more information, visit:

