



Foundations of Financial Markets

PROGRAM OVERVIEW

Are you a compliance and legal professional? Are you an IT or Operations professional working in Financial Markets? Do you want to better understand Financial Markets? Or do you want to work in Financial Markets and want to learn more?

In partnership with the Australian Financial Markets Association (AFMA), the Macquarie Business School (MQBS) has developed the **Foundations of Financial Markets**.

By completing the **Foundations of Financial Markets**, you will learn how to critically assess the core functions of financial markets and their environments.

You will analyse financial market products, their relevance and learn how transactions are executed within a risk management framework and in accordance with relevant regulation.

PROGRAM OBJECTIVES

At the end of the program, participants can:

1. Critically assess the functions of financial markets and their environments to evaluate the implications for all relevant stakeholders.
2. Analyse financial market products, their relevance and transaction execution in accordance with relevant regulation.

WHO SHOULD ATTEND?

People working in support functions (ie not client facing or risk-taking positions) in organisations seeking an understanding of how Financial Markets work.

KEY FEATURES OF THE PROGRAM

- **Industry-relevant**– the program has been developed in partnership with AFMA
- **Delivered by academics and industry practitioners** – the program has been co-designed by Macquarie Business School and AFMA, and addresses key issues faced by financial markets professionals.
- **Flexible Participation** – the program includes a mix of self-directed online learning supported by videos, readings, activities, online discussions and live online workshops.
- **University credentials** – successful completion of the program entitles you to ten (10) credit points at Australian Qualifications Framework Level 8, enabling you to use these as credit towards relevant post graduate programs.

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FOUNDATIONS OF FINANCIAL MARKETS



PROGRAM STRUCTURE

By participating in this program, you join the first two units of the longer [Financial Markets Certificate](#). The first two core units provide the fundamental information on how financial markets work.

The Foundations of Financial Markets consists of an orientation module and two core units:

ORIENTATION MODULE

The Orientation module provides an all-inclusive study guide, providing you with a roadmap to the program structure, mastering the technical environment, essential study tips and tricks, referencing techniques and upholding academic integrity.

CORE UNITS

The units will need to be completed sequentially in this order:

1: FINANCIAL MARKETS CONCEPTS UNIT

This unit provides a broad overview of the Australian 'over-the-counter' (OTC) and exchange-traded financial markets. It introduces financial market sectors, participants, and the economic environment. Participants will assess how the Reserve Bank of Australia's monetary policies and the business cycle affect the money, bond, and FX markets. Leading economic indicators and their effects on economic output and financial markets are examined. Participants will analyse longer term macroeconomic developments and their effect on financial markets such as inflation targeting, quantitative easing, tapering and tightening, and the equilibrium real interest rate (R^*).

***You must pass Financial Markets Concepts in order to progress to Financial Markets Transactions.*

2: FINANCIAL MARKETS TRANSACTIONS UNIT

The unit provides an overview of the financial products traded in financial markets, and an evaluation of physical, OTC and exchange traded hedging tools. Participants will identify the underlying key features of products, who uses them and how and why they are used. The unit will use real life case studies to reinforce the concepts. Participants will examine the deal lifecycle of a financial transaction to gain an appreciation of how to execute transactions and to recognise that institutions have their own specific requirements.

Through the lens of regulatory frameworks, participants will review ongoing requirements of a general nature that govern how market participants should conduct themselves.

PROGRAM DURATION

- You complete this program over two (2) x 6 week consecutive terms.
- The two units need to be taken sequentially and can be completed within eight months and must be completed within 12 months unless otherwise approved.
- There are 6 terms each year.
- You can start the program in any term.
- The overall estimated workload for each unit is 75 hours of time on task. This time includes working on self-directed online learning, workshop attendance, readings and assessments etc.

STUDY MODE

- The unit content is all online
- Each unit has a mandatory 1 hour live virtual Welcome Session in Week 1
- Each unit has self-directed online learning activities in Week's 1-4
- Each unit has a mandatory live virtual 6 hour Workshop in Week 4
- Each unit has an individual assessment due at the end of Week 6
- Participants will have access to facilitators for questions throughout the term via online discussion forums.

PROGRAM FEE

Employees of AFMA member firms:

Complete program (2 units): \$3,030 (incl GST)

General public:

Complete program (2 units): \$3,390 (incl GST)

HOW TO REGISTER

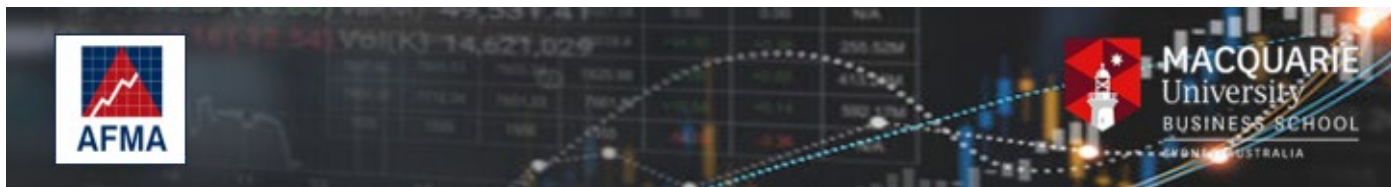
Enrol into your preferred **starting Term** via the program website

[REGISTER HERE](#)

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TERM TIMEFRAMES

There are six (6) terms per year. You can start in any term.

Indicative term timeframes are:

Term 1: January-February

Term 2: March-April

Term 3: April-June

Term 4: June-August

Term 5: August-September

Term 6: October-November

There is a two week break between terms. Refer to program website for exact starting dates as these will vary slightly each year.

CANCELLATION POLICY

Notice Provided to Cancel an enrolment	Cancellation Fee
>20 business days before Program Unit Commencement Date	0% of the unit fee
11<20 business days before Program Unit Commencement Date	50% of the unit fee
<10 business days before Program Unit Commencement Date	100% of the unit fee

- Participants will have the option to transfer their enrolment to a unit offered in a later term within a 12 month period. A transfer fee of \$250 (incl GST) will apply.
- All requests for cancellation and transfers must be made in writing to the University at afma@mq.edu.au
- The University reserves the right to cancel a unit if there are insufficient registrations and will refund any fees paid in the event of a cancellation.

AFMA ACCREDITATION

This program does not lead to AFMA Accreditation. To receive AFMA Accreditation you must complete the full Financial Markets Certificate.

RECOGNITION OF PRIOR LEARNING (RPL)

RPL is not available for this program.

DIGITAL CREDENTIALS

All participants will receive Macquarie University's Foundations of Financial Markets digital badge and a Certificate of Completion which can be shared on social media platforms.

PATHWAY TO FURTHER STUDIES

Successful completion of the **Foundations of Financial Markets** provides 10 credit points towards relevant Post Graduate programs.

Participants who successfully complete the Foundations of Financial Markets program have two continuing education pathways to consider:

- [Financial Markets Certificate](#)
- Macquarie Business School's:
 - [Graduate Certificate of Finance and, by extension,](#)
 - [Master of Applied Finance.](#)

FIND OUT MORE

Executive Education

E: afma@mq.edu.au

W: <https://www.mq.edu.au/macquarie-business-school/mba-and-executive/credentialed-programs>

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