Minutes of the Academic Senate meeting held from 9:32 am to 11:28 am on Tuesday 6 April 2021 in the Arts Function Room, Level 1, 25C Wally’s Walk and by Zoom. Please note that the minutes reflect the order of items in the agenda.

Present:
Professor Jacqueline Phillips, Chair
A/Professor Wylie Bradford (Deputy Chair)
Professor Sean Brawley
Professor Anne Castles
Dr Paul Crosby
Anel-Gisela Dávila Carrion (by Zoom)
Professor Judith Dawes
Dr Holly Doel-Mackaway
Professor S Bruce Dowton
Professor Joanne Earl
Associate Professor Tanya Evans
Professor Simon Handley
Professor Mariella Herberstein
Dr Leanne Holt
Professor Grant Hose
Professor Lesley Hughes
Emma Jackson

Associate Professor Taryn Jones
Dr Tristan Kennedy
Vas Leodaritsis (by Zoom)
Christian Livolsi
Dr Juliet Lum
A/Professor Nicole Matthews (by Zoom)
Professor Grant Michelson
Professor Martina Möllering
Professor Magnus Nydén
Professor Sakkie Pretorius
Professor Grant Michelson
Professor Patric McNeil
Professor Dominique Parrish
Jade Quisumbing
Mohika Tainwala

In attendance:
Linda Breen
Antonia Dykes
Megan Kemmis (Secretariat)
Air Sinthawalai
Adrian Teh (by Zoom)

Apologies:
Professor Amanda Barnier
A/Professor Yvonne Breyer
Imogen Deller
Professor Eric Knight

Professor Patrick McNeil
Professor Dominique Parrish
Jade Quisumbing
Mohika Tainwala

1. PROCEDURAL ITEMS

1.1 ACKNOWLEDGEMENT OF COUNTRY

The meeting commenced at 9:32 am with the Chair acknowledging the traditional custodians of the land on which the University stands, and the lands where members are located today.

1.2 WELCOME AND APOLOGIES

The Chair welcomed everyone to the meeting, and noted the apologies listed above. She reminded all members of the purpose of Academic Senate with specific reference to oversight of academic and research integrity and outlined the voting procedure for members attending by Zoom (by poll) and those present in the room (by show of hands). She also outlined the process for commenting both via Zoom and in the room.

1.3 DISCLOSURE OF CONFLICTS OF INTERESTS

No conflicts of interest were disclosed.
1.4 ADOPTION OF UNSTARRED ITEMS

Item 3.5 was starred. Members approved the adoption of unstarred items.

RESOLUTION AS21/15
Academic Senate RESOLVED that the items not starred for discussion (Items 4.1, 4.2, 4.3, 4.6, 4.7, 5.1, 5.3, 8.1, 9.1, 9.2, 9.3, 9.4, 9.5, 10.1, 10.2, 10.3 and 11.1) be noted and, where appropriate, be adopted as recommended.

2. MINUTES AND MATTERS ARISING

2.1 MINUTES OF PREVIOUS MEETING

Members approved the minutes of the meeting held 23 February 2021 as set out in the agenda.

RESOLUTION AS21/16
Academic Senate RESOLVED to ratify the minutes of the meeting held 23 February 2021 as a true and correct record.

2.2 MATTERS ARISING

There were no matters arising.

3. REPORTS

3.1 REPORT FROM THE CHAIR

The Chair updated members on the work undertaken by herself, the Deputy Chair and Governance Services since the previous meeting. She advised members that she has taken over as Chair of the Academic Integrity Taskforce (AITF), noting her thanks to the previous Chair [Pro Vice-Chancellor (Learning and Teaching)], and that a report on the AITF and its projects will be presented to Academic Senate at a future meeting.

Projects for 2021 are commencing and members of Senate have been appointed to committees and working groups following the recent call for expressions of interest. The Chair noted contributions to work occurring under the Coursework Suite and Delivery pillar of the Operating Plan to develop course reaccreditation and unit monitoring and reviewing processes, to work associated with preparations for TEQSA reaccreditation and also with the student outcomes group developing workflows for cohort monitoring. The Deputy Chair has been working with student members of Academic Senate to match them with mentors as part of supporting their membership.

3.2 REPORT FROM THE VICE-CHANCELLOR

The Vice-Chancellor provided a verbal report to Academic Senate on a range of matters including the Federal Government’s emphasis on the commercialisation of research by universities and its continued focus on Freedom of Speech on campuses. On the first point, he recommended that Academic Senate invite the Pro Vice-Chancellor (Research Innovation) to speak to Senate on the University’s approach to commercialisation. The Vice-Chancellor also provided advice on a possible trial for the return of international students to Australia, but noted this would be capped to 200-300 students per fortnight.

He advised members that enrolments for Session 1 2021 for domestic students was up by around 10% both in terms of year-on-year comparisons and against the
target for this year. Enrolments for MUIC have fallen, but the target for international student enrolments in University courses has been exceeded for this year (although well down in comparison with year-on-year figures). Future enrolments from China remain unpredictable due to the political tensions between Australia and China.

3.3 REPORT FROM THE INTERIM DEPUTY VICE-CHANCELLOR (ACADEMIC)

The Interim Deputy Vice-Chancellor (Academic) referred to her written report on updates from her portfolio and some PowerPoint slides (attached to these minutes), highlighting a number of issues including the status of work on the University’s reregistration with TEQSA, the establishment of the Student Success Framework Steering Group (chaired by the University Librarian), and changes to the Deputy Vice-Chancellor (Academic) portfolio structure.

She also advised Academic Senate of work under the two pillars of the Operating Plan which she is leading (Students First, and Coursework Suite and Delivery). She focused on the first of these pillars adding she would include information on the second pillar in her next report.

3.4 QUESTION TIME

The following questions were raised:

- In response to a question on whether particular regions are proving more successful for the University in terms of international student enrolments, the Vice-Chancellor advised that the University is still seeing a similar spread of countries as it did before the pandemic, with a slight rise in the number of students from mainland China.

- The Vice-Chancellor was asked whether there had been a noticeable impact from the changes made by the Federal Government to fee structures for undergraduate domestic students, and advised that there has been no discernible impact on course choice by students due to this change.

- The Interim Deputy Vice-Chancellor (Academic) was asked to comment further on changes to student support, disability services support and Student Wellbeing. She advised that she is working with the Manager (Student Equity and Diversity) and Executive Manager (DVCA Operations) to address the current workload issues in Student Wellbeing. Her portfolio has also identified a number of processes for streamlining and simplification, such as the Professional Authority Form used for Special Consideration applications. She also noted that the current funding model is focussed on reacting to student problems after they have occurred, rather than preparing students in how to deal with stressors.

Professor Pretorius joined the meeting at 10:11 am.

3.5 STUDENT-LED BUSINESS

The Chair invited the HDR student representative of Senate, Ms Emma Jackson, to speak to the meeting. Ms Jackson advised members that HDR students at the University have experienced a range of negative effects due to staff losses at the University, with students reporting that their anxiety and concerns are at times not taken seriously, that wellbeing and support services are difficult to access and sometimes ineffective, and that research activities have been delayed or suspended. She noted that some of these concerns have previously been raised with the Pro Vice-Chancellor (HDR Training and Partnerships), adding that the candidature
extension scheme introduced last year was good but did not address all of the current issues. She asked for a response to the following questions:

- What policies and procedures are in place to recognise the significant delays and stress encountered by students in these situations?
- How are student cohorts being identified and contacted to obtain information on their concerns and needs as a result of staff losses?
- How will issues of student wellbeing be addressed?

The Pro Vice-Chancellor (HDR Training and Partnerships) acknowledged the high levels of anxiety and uncertainty within the University’s academic community, including its HDR students as a result of current change management proposals. He added that the University is still working through the feedback received to these proposals and that it was not possible to say which areas would be affected until this process was completed. He referred to a recent meeting of HDR students from the Department of Earth and Environmental Sciences with himself and the Associate Dean (HDR) from the Faculty of Science and Engineering to discuss their specific concerns, and highlighted the work being done by faculties to support their students.

He emphasised the University’s commitment to continuity and quality of supervision, noting the proposed amendments to the HDR Supervision Policy and Procedure to support this commitment. His portfolio is continuing to work with faculties to ensure two supervisors are appointed for each student and that supervision management plans are developed for remote and off-campus HDR students. He added that he will be meeting with the Manager of Student Wellbeing to develop a specific wellbeing plan for HDR students. In addition, provisions are already in place for recording disruptions as the basis for applications to extend candidatures and students also have access to leave (including paid leave). Once change management plans have been clarified, his office will work with faculties to identify students who will be affected by staff changes to develop individual plans to support their candidature.

The Interim Deputy Vice-Chancellor (Academic) expressed concern that HDR students have felt wellbeing staff do not understand their specific issues, noting that the unit has been providing support to HDR students for some years. She acknowledged the stress being felt by students and advised that she would work with the Pro Vice-Chancellor (HDR Training and Partnerships) on this issue.

Ms Jackson thanked them for their responses and asked if students would be represented at the meetings to discuss wellbeing arrangements. The Pro Vice-Chancellor (HDR Training and Partnerships) advised that confidential issues are likely to be raised at this meeting which would make student representation inappropriate, but undertook to engage with the broader student community to identify issues. The Chair asked the Pro Vice-Chancellor (HDR Training and Partnerships) to come back to Academic Senate with data on the number of students that have been impacted by change of supervision due to the change management process, and for both the Interim Deputy Vice-Chancellor (Academic) and the Pro Vice-Chancellor (HDR Training and Partnerships) to come back to Academic Senate with data on students seeking support from wellbeing and the Office of the PVC (HDR Training and Partnerships).

The Vice-Chancellor commented that there was a clear need for student wellbeing to become proactive, as outlined earlier by the Interim Deputy Vice-Chancellor (Academic). He pointed out that prior to 2020 the University had already identified an increase in demand for support services, and that the University needs to design a suite of offering for students who need help but who may not need individual consultations with a clinical psychologist.

**Actions:** a. The Pro Vice-Chancellor (HDR Training and Partnerships) to come back to Academic Senate with data on the number of students that have been impacted by change of supervision due to the change
management process.
b. The Pro Vice-Chancellor (HDR Training and Partnerships) and the Interim Deputy Vice-Chancellor (Academic) to come back to Academic Senate with data on students seeking support from wellbeing and the Office of the PVC (HDR Training and Partnerships).

4. ITEMS FOR APPROVAL/ENDORSEMENT

4.1 PROPOSAL TO AMEND MASTER OF BUSINESS ADMINISTRATION (ASQC)

RESOLUTION AS21/17
Academic Senate RESOLVED to approve course amendments to the Master of Business Administration.

4.2 AMENDMENT TO MUIC ACADEMIC PROGRESSION POLICY: MAXIMUM TIME TO COMPLETE UNDERGRADUATE CERTIFICATES (ASQC)

RESOLUTION AS21/18
Academic Senate RESOLVED to approve the following amendments to the MUIC Academic Progression Policy:

i. amend clause 29 to include a maximum time to complete for Undergraduate Certificates of 12 consecutive months; and

ii. amend the existing schedule at clause 29 to consistently express the maximum time to complete as being consecutive months.

4.3 PROPOSAL TO AMEND MUIC INTENSIVE PROGRAM AND STANDARD FOUNDATION PROGRAM (ASQC)

RESOLUTION AS21/19
Academic Senate RESOLVED to approve amendments to the course structures and articulation arrangements for the Intensive Program and Standard Foundation Program offered by the Macquarie University International College for implementation in Term 4 2021.

4.4 AMENDMENT TO HDR SUPERVISION POLICY AND PROCEDURE (RRTC)

The Pro Vice-Chancellor (HDR Training and Partnerships) outlined the amendments to the HDR Supervision Policy and Procedure as set out in the resolution, and asked if there were any concerns or questions. Members raised the following points in discussion:

• Members sought clarification that the proposed change would only apply to existing supervision arrangements i.e. honorary academics would not take on new students, with the Pro Vice-Chancellor (HDR Training and Partnerships) confirming that approval would only be granted for an existing supervisory relationship.

• The process for dealing with misconduct or other concerns was raised, and he assured members that the policy outlines how the arrangement can be terminated by the University in such cases.

• The resourcing of honorary academics was queried, with the Chair noting that there are set resources provided to Professors Emerita/Emeritus while resourcing of Honorary Professors is on a case-by-case basis.

• Members sought further information about the criteria to be used in determining exceptional circumstances, with the Deputy Vice-Chancellor
(Research) advising that a detailed case would need to be made for approval of each arrangement.

- It was suggested a definition be included to clarify the work to be undertaken by honorary academics in arrangements approved under exceptional circumstances, with the Pro Vice-Chancellor (HDR Training and Partnerships) advising this should not be necessary as any such approval would only be given to an existing supervisory arrangement and be specific to each individual case.

A majority of members voted in favour of the amendments with three voting against.

**RESOLUTION AS21/20**

Academic Senate RESOLVED to approve amendments to the Higher Degree Research Supervision Policy and Procedure with immediate effect including:

i. the appointment of Macquarie University Honorary Academics (Emeritus Professor, Honorary or Clinical) as Principal Supervisors in exceptional circumstances and with the approval of the Deputy Vice-Chancellor (Research); and

ii. minor wording changes to the mandatory training requirements for HDR Supervisors.

**4.5 CLARIFICATION OF CONDITIONS FOR MACQUARIE UNIVERSITY AWARD FOR ACADEMIC EXCELLENCE**

The Chair advised that this item was starred as an issue which may have member interest. She outlined the review of the award undertaken in 2017 and the points requiring clarification as specified in the resolution. Members approved the proposal unanimously.

**RESOLUTION AS21/21**

Academic Senate RESOLVED to:

i. affirm that the Macquarie University Award for Academic Excellence is intended for award to graduates from coursework bachelor and masters degrees only; and

ii. approve amendments to the criteria for the Macquarie University Award for Academic Excellence to clarify that it is to be awarded to graduates from coursework bachelor and masters degrees only and that the GPA or WAM calculation used to determine eligibility includes results from units completed at Macquarie University only, including those granted Recognition of Prior Learning for the purposes of an internal course transfer.

**4.6 ENDORSEMENT OF PROFESSOR EMERITA NOMINATION**

**RESOLUTION AS21/22**

Academic Senate RESOLVED to endorse the recommendation of the Professor Emerita Emeritus Working Group and recommend the nomination of Professor Kathryn Millard to Council for its approval.

**4.7 COMMITTEES OF ACADEMIC SENATE: UPDATES TO MEMBERSHIP**

**RESOLUTION AS21/23**

Academic Senate RESOLVED to approve the following appointments for a term of membership expiring 31 December 2022:

i. Vas Leodaritis as the student member on the Academic Senate Standing Committee; and
ii. Imogen Deller and Jade Quisumbing as the student members on the Senate Learning and Teaching Committee.

5. ACADEMIC SENATE PROJECTS

5.1 POLICIES UNDER REVIEW / DEVELOPMENT

RESOLUTION AS21/24
Academic Senate NOTED the list of policies under review / development as at 31 March 2021.

5.2 RESPONSE TO RECOMMENDATIONS FROM EXTERNAL REVIEW OF ACADEMIC SENATE: FINAL PROJECT REPORT

The Chair provided a brief background on this project and the external review of Academic Senate undertaken in late 2019 and early 2020. She pointed out that action items under recommendation 7 have been referred to Governance Services and the Academic Senate Standing Committee as proposed in the implementation plan and are complete with respect to the plan, with Academic Senate to be provided with further advice on the annual report template later in the year. The Chair advised members that the implementation plan and associated reports will form part of the submission to TEQSA for re-accreditation. Members accepted and noted the report.

RESOLUTION AS21/25
Academic Senate ACCEPTED and NOTED the final update on the implementation of recommendations from the report of the External Review of Academic Senate.

5.3 2021 ACADEMIC SENATE PROJECT: DASHBOARD UPDATE

RESOLUTION AS21/26
Academic Senate NOTED the update on the projects for Academic Senate for 2021.

6. ITEMS FOR DISCUSSION

6.1 RESEARCH INTEGRITY REPORT

The Pro Vice-Chancellor (Research Integrity and Development) provided an update to members on matters related to research integrity, including the implementation of recommendations from the Farthing Review of research integrity at the University, and data on research integrity cases in 2020 (refer to presentation slides attached to these minutes).

Members made the following comments on the report:

- A suggestion was made that academic and research integrity matters could be combined under a single unit with a co-ordinated approach towards educating and informing students and staff. The Interim Deputy Vice-Chancellor (Academic) advised that she has met with the Senior Research Integrity Officer to develop a single website on integrity matters for students, and that training modules on academic and research integrity issues have been aligned to achieve a consistent message.

- The availability of data on trends related to specific issues (such as cases involving HDR students) was raised, with the Pro Vice-Chancellor (Research Integrity and Development) advising this tracking has not been undertaken due to the small number of cases. She added that the new Authorship guidelines will hopefully address some issues as it includes a form for candidates to
complete with their supervisor to nominate co-authors on publications. This will ensure that conversations about authorship are held early in the supervision period.

- A question was asked about the link between Research Integrity and Ethics, with members noting that both areas share the same Director, Dr Kandy White. Ethics applications are administered by a separate secretariat, with low-risk applications considered by Faculty committees.

The Chair thanked the Pro Vice-Chancellor (Research Integrity and Development) for her report.

**RESOLUTION AS21/27**

_Academic Senate NOTED the presentation on Research Integrity from the Pro Vice-Chancellor (Research Integrity and Development)._

7. **QUESTIONS ON NOTICE**

There were no questions on notice. The Chair took the opportunity to remind members that they can submit questions on matters not listed on the agenda and that such questions need to be submitted two working days before the meeting to ensure a response can be prepared.

8. **ITEMS APPROVED BY THE CHAIR UNDER EXECUTIVE ACTION**

8.1 **SUMMARY OF ITEMS APPROVED UNDER EXECUTIVE ACTION BY THE CHAIR**

**RESOLUTION AS21/28**

_Academic Senate RESOLVED to ratify the following decision made under executive action by the Chair of Academic Senate:*

_i._ the appointment of Dr Pamela Humphreys as a co-opted member on the Academic Standards and Quality Committee for a term of membership expiring 17 March 2023._

9. **REPORTS FROM STANDING COMMITTEES**

9.1 **ACADEMIC SENATE STANDING COMMITTEE: REPORT OF THE MEETING OF 10 MARCH 2021**

Academic Senate noted the report of the Academic Senate Standing Committee meeting of 10 March 2021.

9.2 **ACADEMIC STANDARDS AND QUALITY COMMITTEE: REPORT OF THE MEETING OF 16 MARCH 2021**

Academic Senate noted the report of the Academic Standards and Quality Committee meeting of 16 March 2021.

9.3 **RESEARCH AND RESEARCH TRAINING COMMITTEE: REPORT OF THE MEETING OF 16 MARCH 2021**

Academic Senate noted the report of the Research and Research Training Committee meeting of 16 March 2021.

9.4 **SENATE LEARNING AND TEACHING COMMITTEE: REPORT OF THE MEETING OF 15 MARCH 2021**

Academic Senate noted the report of the Senate Learning and Teaching Committee
meeting of 15 March 2021.

9.5 UNIVERSITY MEDAL COMMITTEE: REPORT OF THE MEETING OF 26 FEBRUARY 2021

Academic Senate noted the report of the University Committee meeting of 26 February 2021.

10. REPORTS FROM FACULTY BOARDS

10.1 MACQUARIE BUSINESS SCHOOL FACULTY BOARD: REPORT OF THE MEETING OF 1 FEBRUARY 2021

Academic Senate noted the report of the Macquarie Business School Faculty Board meeting of 1 February 2021.

10.2 FACULTY OF MEDICINE, HEALTH AND HUMAN SCIENCES FACULTY BOARD: REPORT OF THE MEETING OF 2 FEBRUARY 2021

Academic Senate noted the report of the Faculty of Medicine, Health and Human Sciences Faculty Board meeting of 2 February 2021.

10.3 FACULTY OF SCIENCE AND ENGINEERING FACULTY BOARD: REPORT OF THE MEETING OF 2 FEBRUARY 2021

Academic Senate noted the report of the Faculty of Science and Engineering Faculty Board meeting of 2 February 2021.

11. UNIVERSITY DISCIPLINE COMMITTEE

11.1 SUMMARY REPORT OF THE UNIVERSITY DISCIPLINE COMMITTEE MEETINGS HELD IN FEBRUARY 2021

Academic Senate noted the report of the University Discipline Committee meetings held in February 2021.

12. OTHER BUSINESS

12.1 ACADEMIC SENATE ELECTIONS: CHAIR AND DEPUTY CHAIR

The Director, Governance Services, Ms Linda Breen, presented members with the formal results from the recent election for the positions of Chair and Deputy Chair of Academic Senate, advising that both Professor Jacqueline Phillips and Associate Professor Wylie Bradford were declared re-elected unopposed.

The Chair thanked members for their trust in herself and the Deputy Chair. She highlighted the upcoming TEQSA reaccreditation as a main focus of Academic Senate’s work for the next two years, noting that her role will be to foster an inclusive approach to the work of Senate ensuring it works collectively to support both students and staff at the University.

**RESOLUTION AS21/29**

Academic Senate NOTED the advice on the outcome of the elections for the position of Chair and Deputy Chair of Academic Senate.

13. NEXT MEETING

The next meeting of the Academic Senate will be held on Tuesday 25 May 2021. Agenda Items are due by Tuesday 11 May 2021.
CLOSURE

There being no further business, the meeting concluded at 11.28 am.
DVC (A) Report to Senate

PROFESSOR MARIELLA HERBERSTEIN
INTERIM DEPUTY VICE-CHANCELLOR (ACADEMIC)

April 2021
TEQSA Reregistration

UPDATES

• Steering group met in mid-March
• Discussed a detailed project road map
• Currently in the gap analysis & rectification phase
  • For all HE standards
• From July – collating evidence for a draft submission for mock assessment in March 2022

Work underway includes:
  – Development of course and unit monitoring and review processes
  – Implementation of student cohort monitoring
  – Finalisation of quality assurance framework
  – Review of Faculty Board terms of reference
Student Success Framework

UPDATES

• Constituted the SSF steering group
  • Chaired by Belinda Tiffin
  • Broad representation including students
  • Will review the actions outlined in the SSF
  • Set KPIs
  • Make recommendations to the University
DVC-A portfolio

UPDATES

- Kylie Ebert – has taken an interim role as Director Employability and Graduate Success in the PVC L&T portfolio
- Lilia Draganov (Senior Manager Student Life) & Penny Huismann (Student Wellbeing) report directly to DVC-A
Operating Plan

UPDATES

• Program Board 1 ‘Students First’
  • Leads: Dominique Parish, Eric Knight, Mariella Herberstein

• Program Board 2 ‘Coursework Suite’
  • Leads: Sean Brawley, David Wilkinson, Martina Mollering, Mariella Herberstein
# Program Board 1 ‘Students First’

## UPDATES

<table>
<thead>
<tr>
<th>STUDENTS FIRST</th>
<th>EDUCATION AND EMPLOYABILITY</th>
<th>STUDENT JOURNEY AND EXPERIENCE TASK FORCE</th>
<th>WAYS OF WORKING &amp; DIGITAL TRANSFORMATION</th>
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<tr>
<td></td>
<td>Student success (including teaching innovation)</td>
<td>Student journey, lifecycle and communications</td>
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<td></td>
<td>Student employability (including employability strategy and capability framework)</td>
<td>Student experience, capability, resilience and satisfaction</td>
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<td>Teaching quality</td>
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Students First

1. Student Success
2. Student Employability
3. Assuring Teaching Quality
4. Student Journey/Lifecycle
5. Student Experience

Education and Employability theme
- Strategic Direction: Dominique Parrish
- 3 Workstream Leads

Employability strategy and framework
National Priorities and Industry Linkages Funding (NPILF) progression of work integrated learning and career support
Alignment of in and out of curricula learning and services to enhance student success and outcomes

Assuring Teaching Quality
- Teaching quality assessment process(es) and associated tools
- Implementing teaching quality assessment and assurance processes and practices
- Teaching development support and skill enhancement

Student Journey and Experience theme
- Task force to be assembled

Student Wellbeing design
- Services that can be effective at scale
- Gap analysis – under/over services
- Identification of support services
- Student capacity and resilience
PB1: Student journey and experience

• Addresses how students engage with us from an administrative perspective
  • Admissions, enrolments, class registrations, progression completions, graduations
    • Includes the online environment, our systems, policies and processes
    • Includes our communication framework - how and who communicates with students
PB1: Student Capacity & Resilience

- What extracurricular services do we offer for students, how are they accessed and how are they delivered
  - E.g. wellbeing, clubs, academic support, gym, etc
- Chaired by Leanne Holt
Program Board 2: Coursework suite

ITEM 4.3

- Curricular Viability and Efficiency
- Curriculum Architecture
- Product Suite Futures
- Teaching and Learning Efficiency
- QAE&I
- Micro credentials
Research Integrity Update

Academic Senate 6th April 2021
• Brief background
• MQ Code, standards & guides
• Update on Farthing Review recommendations
• Update on resourcing
• RI cases, 2020
Background

• Research Integrity team within Research Services established in 2014

• Operate within the framework of the Australian Code for the Responsible Conduct of Research & the Macquarie Code for the Responsible Conduct of Research

• Activities include:
  • Receipt of enquiries, complaints & allegations
  • Manage investigations about alleged breaches of the Code
  • Design and deliver educational material to support excellence in research integrity practice amongst staff and students
Macquarie University Research Integrity Roles

Research Integrity office
DREI, PVCRID, RIOs
- policies, procedures, guidelines
- Evaluate complaints
- Support investigations
- Awareness & training

Research Integrity Advisers
ADRs & ADHDRs (8)
- Advise staff and HDR students
- Consult on policy & procedures
- Preliminary Assessments & Investigations

Research Integrity Delegates
~40 researchers ca. 20 departments
- provide positive & collegial support
- local training and awareness
- assist on investigation panels

YOU!
Researchers, supervisors, managers
- Adopt/support honest/ethical approach
- Model best practice
- Meet responsibilities of the Code
The Australian Code and framework


Broad principles characterise an honest, ethical and conscientious research culture.

GUIDE to investigating and managing complaints implemented Jul 2019.

The principles are reflected in:

- 13 responsibilities for institutions
- 16 responsibilities for researchers


(topics were previously covered in chapters of the Code)

- Coordinated by the ARC, NHMRC and Universities Australia
- Following detailed broad and then targeted consultation process with Australian institutions

Macquarie University is progressively updating Policies and procedures...
<table>
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<tr>
<th></th>
<th>ARC/NHMRC/UA Guides</th>
<th>ETA Standard or POLICY</th>
<th>Primary resources</th>
<th>Online training F2F training</th>
<th>Stakeholders in addition to RIO/RIAs/Faculties</th>
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<tbody>
<tr>
<td><strong>Authorship</strong></td>
<td>Issued Nov 2020</td>
<td></td>
<td><strong>Authorship planning form</strong>&lt;br&gt;- to assist in planning and brokering discussions, avoiding misunderstandings</td>
<td>Online module under development Q1/2 2021</td>
<td>HDR T&amp;P reflecting the fact that the bulk of authorship issues involve HDRs and difficult discussions</td>
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<td><strong>Authorship contribution Statement</strong>&lt;br&gt;- for use by HDRs when submitting a thesis by publication</td>
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<td><strong>Authorship grievances procedure</strong>&lt;br&gt;- alternatives depending on pre or post publication</td>
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<tr>
<td><strong>Peer Review</strong></td>
<td>Issued Nov 2020</td>
<td></td>
<td>Internal guidelines for centrally coordinated Peer Assessment Panels</td>
<td>Under development Q1/2 2021</td>
<td>Research Services &amp; FRMs internal peer assessment panels TBC</td>
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<td><strong>POLICIES &amp; PROCEDURES – development</strong></td>
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<td><strong>Research Data Management (RDM)</strong></td>
<td>Draft endorsed RRTC (Oct/Nov 2020) To be issued Q2/3 2021 Comms beginning April Soft release by cohort, with online training</td>
<td>RDM Procedure Security &amp; sensitivity guide Data Management Planning form Data Management LibGuide DMP online module DMP form Research Data Repository <a href="https://rise.articulate.com/share/-AWqSPaEI_JTbHzwQHdmQ43R50edrCl0">https://rise.articulate.com/share/-AWqSPaEI_JTbHzwQHdmQ43R50edrCl0</a></td>
<td>Completed Q1 2021 Refine Q1 2021</td>
<td>RIO Digitally enabled research (DER) Library/ IT/ HDRO Research Services (post award)</td>
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<tr>
<td>Conflict of Interest</td>
<td>Draft Policy by HR/People &amp; Services</td>
<td>Research specific examples, FAQ and online tools</td>
<td>To be created Q2/3 2021</td>
<td>HR, People &amp; Services Research Services</td>
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<tr>
<td>Collaborative Research</td>
<td>Under development 2021 Q1-Q3</td>
<td>Toolkit/checklists Collaborative Research Agreements</td>
<td>To be created Q3/4 2021</td>
<td>Research Services (FRMs) HDRO (especially co-tutelle) OGC/Contracts</td>
</tr>
<tr>
<td>Publication &amp; Dissemination</td>
<td>To be developed 2021 Q2-Q3</td>
<td>Self-audit/evaluation tool</td>
<td>To be created Q3/4 2021</td>
<td>Library &amp; HDRO/ERA team</td>
</tr>
<tr>
<td>Supervision</td>
<td>To be developed 2021 Q4</td>
<td>Toolkit &amp; Checklist</td>
<td>To be created Q4 2021</td>
<td>HDRO/ECRs/HDRs student wellbeing reps</td>
</tr>
</tbody>
</table>
Farthing Review

• Conducted January 2018 by Prof. Michael Farthing, Deputy Chair of UK Research Integrity Committee

• Approx. 50 semi-structured interviews with wide range of academic staff, professional staff, and research students, plus extensive provision of documentation including investigation case studies

• Final report received March 2018

• Overall, review was extremely positive, commending MQ for being open & transparent

• No major issues identified but report made approx. 30 recommendations
## Farthing Recommendations: Response & Current Status (end 2020)

<table>
<thead>
<tr>
<th>Level of Resourcing</th>
<th>Completed</th>
<th>Ongoing &amp;/or recurring</th>
<th>Work in progress</th>
<th>Not begun</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Existing</td>
<td>8</td>
<td>5</td>
<td>2</td>
<td>1</td>
<td>16</td>
</tr>
<tr>
<td>Moderate</td>
<td>1</td>
<td>5</td>
<td>3</td>
<td>1</td>
<td>10</td>
</tr>
<tr>
<td>Substantial</td>
<td>1</td>
<td>1</td>
<td>2</td>
<td>0</td>
<td>4</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>10</strong></td>
<td><strong>11</strong></td>
<td><strong>7</strong></td>
<td><strong>2</strong></td>
<td><strong>30</strong></td>
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</tbody>
</table>
# Update on staffing

<table>
<thead>
<tr>
<th>Beginning 2020</th>
<th>Current</th>
</tr>
</thead>
<tbody>
<tr>
<td>Director RE&amp;I (0.3 FTE)</td>
<td>Director RE&amp;I (0.3 FTE)</td>
</tr>
<tr>
<td>Research Integrity Officer (0.4 FTE)</td>
<td>Senior Research Integrity Officer (0.8 FTE)</td>
</tr>
<tr>
<td>Research Integrity Administrator (0.6 FTE)</td>
<td>Research Integrity Officer (0.6 FTE)</td>
</tr>
<tr>
<td></td>
<td>Research Integrity &amp; Project Officer (1.0 FTE)</td>
</tr>
<tr>
<td></td>
<td>Research Integrity Administrator (0.8 FTE)</td>
</tr>
</tbody>
</table>
Research Integrity team

Dr Kandy White
Director, Research Ethics & Integrity

Dr Shannon Smith
Senior Research Integrity Officer

Dr Paul Sou
Research Integrity & Projects Officer

Beth Wheeler
Research Integrity Officer

Charis Chua
Research Integrity Administrator
### Cases

<table>
<thead>
<tr>
<th>Type of matter</th>
<th>Total</th>
<th>FoA</th>
<th>MBS</th>
<th>FMHHS</th>
<th>FSE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Inquiry or Advisory (25 cases)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Pending information or review</td>
<td>11</td>
<td>2</td>
<td>3</td>
<td>2</td>
<td>4</td>
</tr>
<tr>
<td>Unrelated to Code (dismissed or referred)</td>
<td>6</td>
<td>2</td>
<td>0</td>
<td>3</td>
<td>1</td>
</tr>
<tr>
<td>Local resolutions</td>
<td>8</td>
<td>1</td>
<td>1</td>
<td>4</td>
<td>2</td>
</tr>
<tr>
<td>Preliminary Assessment (6 cases)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Preliminary assessment in progress</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>1</td>
<td>0</td>
</tr>
<tr>
<td>No evidence of potential breach (dismissed or referred)</td>
<td>4</td>
<td>0</td>
<td>1</td>
<td>3</td>
<td>0</td>
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<tr>
<td>Evidence of potential breach found (local resolutions)</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>Investigation (3 cases)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Investigation in progress</td>
<td>3</td>
<td>1</td>
<td>0</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>No breach found</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Breach found (corrective or disciplinary actions)</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Appeal</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td><strong>Total Number of Cases in 2020</strong></td>
<td><strong>34</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Many cases not proceeding to Preliminary Assessment
- Disputes over authorship inclusion/exclusion/order
- Follow relationship breakdowns & misunderstandings within collaborations
- Often involve ECRs or HDRs
Major project for 2021:

Development & implementation of university-wide awareness & education program
Thanks