

### **FORA - HREA** APPLICANT GUIDE

Guidelines for the creation and submission of Human Research Ethics Applications (HREA) to the Macquarie University Forms for Research Applications system (FoRA).

Version Date: June 2021 V1



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#### For additional help:



For technical support, contact OneHelp: +61 2 9850-HELP (4357) help@mq.edu.au



For Human Research Ethics support, contact ethics.secretariat@mq.edu.au

## HREA APPLICANT GUIDE

#### Forms for Research Applications

June 2021

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#### INTRODUCTION

This guide describes how an applicant creates, submits, and manages human research ethics applications in the human research ethics application system.

This includes:

- Creating, completing, signing, and submitting an application form
- Viewing reviewer comments
- Revising an application and responding to reviewer comments
- Submitting amendments
- Submitting unexpected adverse event reports.
- Submitting annual / final reports



#### WHO SHOULD USE THIS GUIDE?

- Anyone who needs to submit a Human Research Ethics Application (HREA) and previously submitted via the NHMRC portal.
- Researchers whose research includes the following categories:
  - o Data linkage research
  - Clinical trials (includes research using randomised trial methods, e.g., in psychology and education research)
  - $\circ$  Medical and health research
  - Use of human specimens.
  - $\circ~$  Ethics oversight required for a non-research activity e.g. authorised prescriber, case study etc.



### IMPORTANT

- Google Chrome is the recommended web browser. Other web browsers are not supported, and the system may not function optimally on other browsers.
- A Macquarie University OneID is required to log in and access this system. To obtain a Macquarie University Sponsored OneID please contact the ethics secretariat <u>ethics.secretariat@mq.edu.au</u> for access
- Any team member can create a new project. The person who creates the application has the role of Project Owner (Chief/Primary Investigator) and the Form Owner (form creator). Please note the project owner can also be the form owner. Project/Form Owners can share the project with other team members and determine which permissions (actions) are given to other team members.
- Only Project Owners and Form Owners can action any system alerts. System alerts are messages from the system such as *system updates* or *form updates* and are displayed at the top of each application.
- Please use the form called 'HREA'.
- The HREA form uses skip logic. Some sections and questions on the form will only be activated from the response to a previous question.
- Documents uploaded to the application form can only be in Word or pdf format.
- There are two sides to the system the Review side (for the Ethics Secretariat and Ethics Committee) and the Applicant side (for the Applicant and collaborators). Applications submitted to the Review side of the system will be locked on submission and cannot be further edited until the application is returned to the applicant by the Ethics Secretariat.
- PLEASE NOTE the HREA form is developed and managed by the NHMRC and can therefore not be changed.

# PART 1: USING THE FORMS FOR RESEARCH APPLICATIONS SYSTEM (FoRA)

#### LOGGING INTO FORA

Log-in to the Applicant side of FoRA via the following URL: <u>https://fora-form.mq.edu.au/Account/Login</u>

Username: MQ OneID Password: MQ OneID password

← → C ☆       0 ▲ https://ethia	ics-and-biosafety-form. <b>mq.adu.au</b> /Account/Login	··· 🖂 🕁	⊻ ⊯ © ⊛ ≅
Ethics, Biosafety & other Apps Hea	Commentation of the format of		Log in
	O Infonetica Ltd 2020 Version 2.3.5.9 Term and Conditions   Dels Consoler Press Pulse   Dels Presses Princy		

Once logged in your **Work Area** will look like this:

)→ ୯ ଢ	A https://ethics-and-biosafety-form.mq.edu.au/ActivityForm/Index				… ⊠ ☆	<u>∓</u> II/ E	0 0
Ethics, Biosafety & oth	Ter Apps Work Area Contacts Help -				Ms Fran Thorp (fran	thorp@mq edu.au) -	
Actions	Work Area	Shared				Project	
e Project Duplicate Transfer	296 0	0 479	97	— Icor	ıs		
	Projects						
T /	fran thorp						١.
	Project Title	Project ID	• Owner	Date Created	0 Date Modified	I Transfer Status	
	October 2020 test	9201	Ms Fran Thorp	08/10/2020 15:19	08/10/2020 15:47		
	Test application for Peter Barrett	9114	Ms Fran Thorp	10/09/2020 14:04	10/09/2020 14:09		
Action	Test EAEF 21 July 2020	7923	Ms Fran Thorp	21/07/2020 15:26	21/07/2020 16:20		
Tiles	De-Identified data test	6798	Ms Fran Thorp	01/06/2020 10:03	01/06/2020 10:27		
	HEAF test	6774	Ms Fran Thorp	25/05/2020 12:00	25/05/2020 12:01		
	Guidelines 2	6736	Ms Fran Thorp	13/05/2020 15:25	13/05/2020 15:26		
	Guidelines 1	6735	Ms Fran Thorp	13/05/2020 14:25	14/05/2020 10:46		
	DMP test- Fran Thorp	6603	Ms Fran Thorp	31/03/2020 16:47	31/03/2020 17:01		
	HREA test - Fran Thorp	6600	Ms Fran Thorp	31/03/2020 16:07	31/03/2020 16:24		
	EAEF test - Fran Thorp	6594	Ms Fran Thorp	31/03/2020 09:46	31/03/2020 15:43		
	10 March FT form	6531	Ms Fran Thorp	10/03/2020 16:36	04/06/2020 11:37		
	HREA MRES Application	6529	Ms Fran Thorp	10/03/2020 15:11	10/03/2020 15:11		
	Test Hons	6469	Ms Fran Thorp	27/02/2020 12:35	24/09/2020 10:40		
	FHS ethics training application	6406	Ms Fran Thorp	17/02/2020 13:26	17/02/2020 13:46		7
	Fran's Testing of Low and High Workflows in HREMS	6372	Ms Fran Thorp	10/02/2020 14:59	10/02/2020 15:17		
	- ph						

The Work Area consists of the following features:

- Action tiles: Lists the actions that can be performed, e.g. creating new projects, and creating folders to organise multiple projects (applications).
- **Icons**: (top of the Work Area):
  - $\circ~$  Notifications: Contains in-system automated messages from the system on the progress of the applications in the Projects list
  - Signatures: Indicates if there are any applications that require the Chief Investigator's signature
  - Transfers: Enables the current Project Owner and Form Owner to transfer the project to another person
  - $\circ$   $\;$  Shared: Lists who the Project Owner and Form Owner has shared the project with
- **Projects**: Displays the list of projects that you have access to.

#### **CREATING A NEW PROJECT**

Click on the Action tile (+) **Create Project** in the Work Area. The following Create Project screen will appear:

Create Project		×
Project Title* (Max 200 characters) Please Enter Project Title Here		
Form* Please select	~	
Centre* Please select		
	Cr	eate Close

Complete the **Project Title field** and for **Form** select from the drop-down list, <u>*HREA*</u>.

Create Project	×
Project Title* (Max 200 characters)	
Please Enter Project Title Here	
Form*	
HREA 🗸	
<ul> <li>This form will automatically be shared with:</li> <li>Jennifer Rowland [Human Ethics Secretariat]</li> <li>Fran Thorp [Human Ethics Secretariat]</li> <li>Dione Pham [Human Ethics Secretariat]</li> <li>Alex Carey-White [Human Ethics Secretariat]</li> <li>Please tick to confirm you are happy to continue*</li> </ul>	
	Close

A pop up will then advise you that the form will be shared with a list of people (from the Ethics Secretariat). Tick the box to continue.

Click on the blue **Create** button.

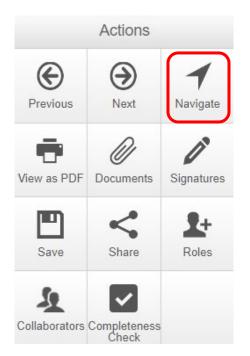
#### **COMPLETING THE HREA FORM**

Once the HREA form has been created it will be displayed in the **Navigation** tab. Not all the sections of the application will be displayed at first.

	Actions		HREA Applica	nt Guide Project			9517
Project	Share	Roles	Project Tree 🗸				
Completeness Check	A Automatic Submission	<b>R</b> efresh	HREA Applicant Guid     HREA	: Project			
View as PDF	Correspond	Import Xml	Action Required	Status	Review Reference	Date Modified	
			Yes	Not Submitted	N/A	28/01/2021 11:28	
				Navigation Documents Signatures	Collaborators Submissions Corresponde	nce Centre History	
			HREA				Show Inactive Sections
			Section	Quest	tions		
			Section 1 HREA Introduction		Itter Questions Uter Automotion Uter Automotio		
					© Infonetica Ltd 2021 Version 2.3.9.4	-	

To activate the other sections of the form you will need to click on the **MQ Filter Questions** section and answer all questions before clicking on the **'Next'** tile to proceed to the **HREA Introduction** section. Here you will be asked to tick the **acknowledgement box**.

To see all the sections of the HREA form, click on the **A Navigate** action icon.



All active sections are in blue. The inactive sections are in black and will be activated in response to your answer to a previous question (skip logic).

HREA	
Section	Questions
Section 1	MQ Filter Questions
HREA Introduction	Introduction HREC Directory
Project Overview	Project Overview
Project Team	Project Team
Disclosure of Interest	Disclosure of Interests
Restrictions	Restrictions
Evaluations	Evaluations
Location	Location
Methods	Methods
Participants	Participants
Risk	Risk - General Risk - Dependent or unequal relationships
Benefit	Benefit
Data and Privacy	Data Characteristics Activities with Data
Generate HREA document	Generate HREA document Upload HREC Declaration Declaration - CI/CPI/Lead Investigator Declaration - PI Declaration - Al/Investigator Declaration - Other Generate HREA document

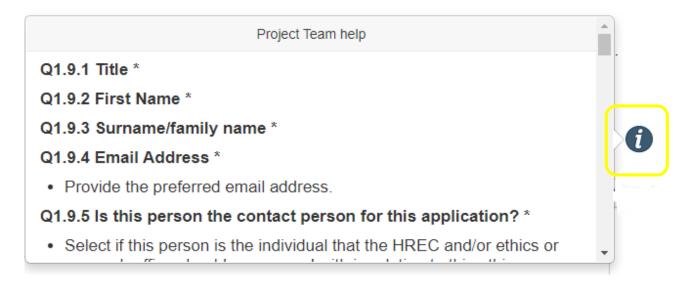
Work through each section of the HREA form, from Section 1 to Generate HREA Document.

All responses will be automatically saved when you navigate using the Previous and Next tiles.

Use the  $(\leftarrow)$  **Previous** and  $(\rightarrow)$  **Next** arrows to move to previous or next sections of the form.

The form sections can be completed in any order. To skip a section and access the section you want to complete, click on the **A Navigate** action icon to see the full list of HREA form sections.

Click on the Information icons available throughout the form and further information will be available via a pop-up (example below):



#### UPLOADING DOCUMENTS (UPLOAD TAB IN THE GENRATE HREA DOCUMENT SECTION)

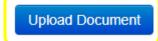
In this section, all project documents need to be uploaded into the relevant sub-sections. Please note that individual attachments are limited to 10MB in size and the cumulative size for all attachments must not exceed 95MB

Attachments
Q4.1 Attach the Project Description/Protocol to your HREA. *
<ul> <li>It is recommended that you use one of the templates provided in the HREA for your Project Description/Protocol.</li> <li>Individual attachments are limited to 10 MB in size.</li> </ul>
Upload Document
Q4.2 Are there any other relevant documents associated with conducting your research project?
This may include attachment of:     participant information and consent forms,     questionnaires,     report forms,
<ul> <li>advertising materials,</li> <li>data management plans (see National Statement Chapter 3.1),</li> <li>ethically defensible plans for the communication of research findings or results to participants (see National Statement Chapters 3.1, 3.2 and 3.3, as appropriate, for guidance on the content of ethically defensible plans),</li> </ul>
<ul> <li>authorisations, approvals, letters of support or other clearances, and/or</li> <li>other project-related documentation specific to your institution and/or jurisdiction.</li> <li>Consult with your institution's research/ethics office for advice on the necessary documentation.</li> </ul>
Yes

#### Select the specific supporting document types to be uploaded.

Advertising material
Case report form
Copy of ethics approval
Cover letter
Curriculum vitae (CV) or resume of investigator/researcher
Data management plans
Drug data sheet
Ethically defensible plans
Evidence of Clinical Trial Notification (CTN)
Form of indemnity
GP/consultant information
Institutional biosafety committee (IBC) approval
Investigator brochure or reference safety information
Invitation to participant
Letter of support
Licence for dealing with a genetically modified organism
NSW privacy form
Participant documentation e.g. diary, wallet card
Participant information and consent form
Participant information and consent form (Tracked)
Peer review
Protocol (Tracked)
Questionnaire
Radiation: letter re standard care
Radiation: medical physicist's report
Report forms
Response letter
Statistician comments
Western Australian specific module
Other project-related documentation

#### Curriculum vitae



Please limit your CV to 2 pages and ensure you include skills, training, experience, competency, and qualifications relevant to the research activity. Please include if CI to a PhD research project supervisory experience and qualifications.

To upload a document, click on the **Upload Document** button in one of the sub-sections. The following screen will appear (example below):

Documents - PROTOCOL					
Please attach your PROTOCO	L here:				
Document Name	Version Date	Version			
Browse			Upload		
			Close		

Click on the Browse button and select the document you wish to add to this sub-section.

Enter a Version Date and Version number and click on the Upload button.

Once the document is uploaded, the system will return you to the Attachments section.

After all relevant documents have been uploaded to this section proceed to the next section of the form using the  $(\rightarrow)$  Next arrow.

#### HREA FORM SUBMISSION PROCESS

When you reach the **Declarations** section of the form, use the tick boxes to select which team members need to sign off on the application.

This declaration must be completed by each of the researchers/investigators or, where applicable, one member on behalf of the research team. Consult your institution's policy for guidance on whether all members must sign this application or whether one member can sign on behalf of the research team. You can upload evidence of the other researchers/investigators' agreement to this declaration (e.g. a PDF of an email). You can have researchers/investigators sign this application after it is completed and printed (i.e. a 'wet ink' signature). You can use the request signature feature to have other researchers/investigators complete their declaration within this application. You must identify which researchers/investigators will be providing evidence or wet ink signatures. Upload any supporting documents and enter the relevances researchers/investigators before requesting electronic signatures/electronically signing the application as this will lock the form. Indicate which members must sign this application	
<ul> <li>You can upload evidence of the other researchers/investigators' agreement to this declaration (e.g. a PDF of an email).</li> <li>You can have researchers/investigators sign this application after it is completed and printed (i.e. a wet ink signature).</li> <li>You can use the request signature feature to have other researchers/investigators complete their declaration within this application.</li> <li>You must identify which researchers/investigators will be providing evidence or wet ink signatures. Upload any supporting documents and enter the relevance researchers/investigators before requesting electronic signatures/electronically signing the application as this will lock the form.</li> </ul>	
<ul> <li>You can have researchers/investigators sign this application after it is completed and printed (i.e. a 'wet ink' signature).</li> <li>You can use the request signature feature to have other researchers/investigators complete their declaration within this application.</li> <li>You must identify which researchers/investigators will be providing evidence or wet ink signatures. Upload any supporting documents and enter the relevances researchers/investigators before requesting electronic signatures/electronically signing the application <u>as this will lock the form</u>.</li> </ul>	
You must identify which researchers/investigators will be providing evidence or wet ink signatures. Upload any supporting documents and enter the relevance researchers/investigators before requesting electronic signatures/electronically signing the application as this will lock the form.	
researchers/investigators before requesting electronic signatures/electronically signing the application as this will lock the form.	rant dataila far thaaa
	ant details for those
Indicate which members must sign this application	
Indicate which members must sign this application	
Indicate which members must sign this application	
Chief Investigator/Researcher	
Coordinating Principal Investigator/Researcher	
Lead Investigator/Researcher	
Principal Investigator	
Associate/Assistant/Sub-/Co-Investigator/Researcher	
Investigator/Researcher	
Other	
This system requires you to enter the names of members who are signing this application. You must also indicate how the member will be signing, and upload any signate	iture documents prior to
requesting any electronic signatures. The application will be locked (read only) once you have signed/requested electronic signatures.	

Once you have selected the person(s) who are required to sign off on the application, use the  $(\rightarrow)$ **Next** arrow to continue to the sign off page(s)

Click on the **electronic signature** or **upload other evidence** option then click the Sign button.

Chief Investigator/Researcher		Ì					
I, (insert name)	PLEASE ENTER NAME HERE						
<ul> <li>certify that:</li> <li>All information in this application and supporting documentation is correct and as complete as possible;</li> <li>I have read and addressed in this application the requirements of the National Statement and any other relevant guidelines;</li> <li>I have familiarised myself with, considered and addressed in this application any relevant legislation, regulations, research guidelines and organisational policies;</li> <li>All relevant financial and non-financial interests of the project team have been disclosed; and</li> <li>In the capacity of a supervisor, as applicable, I have reviewed this application and I will provide appropriate supervision to the student(s) in accordance with the arrangements specified in this application and those associated with the student's educational program.</li> </ul>							
Q4.7 How will the Chief Investigator/Resea	rcher agree to these terms?						
<ul> <li>You can use the ERM 'request/sign' function</li> <li>Select 'Upload other evidence' to upload an</li> <li>Select 'Sign after printing' if you intend to sign</li> </ul>							
<ul> <li>Electronic signature (in place of HRE</li> <li>Upload other evidence</li> <li>Wet ink sign after printing</li> </ul>	A 'sign on screen')						
Electronic signature							
Request Signature Sign							

The system will do a **completeness check** to ensure that all the sections of the HREA form have been completed. Any sections that have not been completed will be presented in a list.

Completeness Check	
Incomplete: Please complete the following questions	
<ul> <li>0.3.1 State the Project Grant Reference Number/s</li> <li>0.3.2 Please state the funding body</li> <li>HREA Full Project Title</li> <li>Q1.2</li> <li>Q1.3</li> <li>Q1.4</li> <li>Q1.7 When do you anticipate starting the research project? *</li> <li>Q1.8 What is the anticipated duration of the research project? *</li> <li>Q1.9.1 Title</li> <li>Q1.9.2 First Name</li> <li>Q1.9.4 Email</li> <li>Q1.9.5 Is this person the contact person for this application?</li> <li>Q1.9.6 Is this person a student on this project?</li> <li>Q1.9.10 Contact Type</li> <li>Q1.9.11 Does this person have authorisation to sign the application on behalf of all members of the research team</li> </ul>	m?

Click on the **blue links** to the sections that need to be completed. When all sections have been completed proceed to **Declaration** section and click on the **Sign** button again. The Sign Form will appear:

Sign Form		×
Please enter your login details in	order to sign this form:	
Username	Password	
		Sign Close

Enter your OneID as the username and your password, click on the Sign button. The following screen will appear:

Ethics, Biosafety & other Apps Work Area Contacts Help -	Ms Fran Thorp (fran.thorp@mq.edu.au) -
Submission Message	
Thank you for submitting your application. It has been successfully received.	
Home	
© Infonetica Ltd 2020 Version 2.3.5.9	
Tems and Conditions   Data Controller Privacy Policy   Data Processor Privacy Policy	

All submitted projects are placed in a queue before they appear in the **Review** side of the system.

Once the project appears in the **Review** side of the system, the **Submission in Progress** will change to **Submitted**.

HREA Applie	cant Guide	Project							9517 📀
Project Tree V	Guide Project								
Action Required		Status			Rev	ew Reference		Date Modified	
No		Submission in progr	ess		N/A			02/02/2021 11:36	
	Navigation	Documents	Signatures	Collaborators	Submissions	Correspondence	Centre	History	
HREA									Show Inactive Sections
Section	Questions								
Section 1	MQ Filter Questions								
HREA Introduction	Introduction HREC Dir	ectory							
Project Overview	Project Overview								
Project Team	Project Team								
Disclosure of Interests	Disclosure of Interests								
Restrictions	Restrictions								
Evaluations	Evaluations								
Location	Location								
Location Methods Participants Risk	Location Methods Participants Risk - General Risk - D	Dependent or unequal re	lationships						

#### SHARING A PROJECT WITH OTHER COLLABORATORS

The **Project Owner and Form Owner** is the role allocated to the person who creates a new project. A Project Owner and Form Owner can share a project with other team members, known as **Collaborators** in the system.

The Project Owner and Form Owner also choose what access permissions will be allocated to each collaborator. To share a project with another team member, click on the Action tile **Share**.



Share ?			×					
Sharing a form enables others to view/edit the same form depending on the level of access you give them. Please select the users you wish to share this form with:								
Collaborator email								
Collaborator email		Read +						
		Write						
		Submit						
		Share						
		Create all sub forms						
		Receive notifications						
		Share Clos	е					

Enter the collaborator's email address and select the access permissions for the collaborator from the list provided. Click on the **Share** button.

To check that the project has been shared with the collaborator access the list of collaborators via the **Collaborator** tab. The access permissions for each person will be displayed.

HREA Applica	HREA Applicant Guide Project									9517 🕜
Project Tree	te Project									
Action Required		Status			Review Reference	e		Date Modified		
No		HE - Approv	/ed		52021951724408			02/02/2021 11:36		
	Navigation	Documents	Signatures	Collaborators	Submissions	Correspondence	Centre	History		

#### ADDING COMMENTS FOR OTHER COLLABORATORS

The HREA form enables all collaborators to communicate with each other about the responses to each section of the form.

To make comments in the form, select one of the form sections. Click on the  $\bigcirc$  **Speech** bubble at the top right of the page.

1.1 Proj	ject Overview	Ø
1.1.1 Pr	roject Title	Ę
1.1.1.1	Full Protocol Title	<
,	For use if the title does not fit in the character limit of 1.1.1	
	nder which review pathway are you intending to submit this application? (Please do not change the risk level of your application when making an amendment e risk level will cause a significant delay to the review time.)	<b>&lt; (ا</b> t. A change in
C	) No more than low risk	
C	O More than low risk	

#### The **Comments** screen will appear.

Comments +Add Comment	×
Note: No comments have yet been added to this application. (Not visible to reviewers)	
	-
	Close

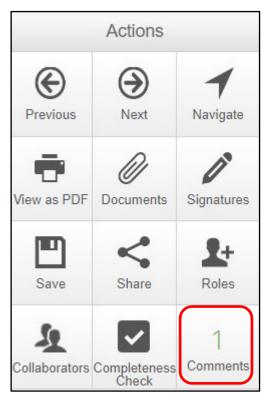
#### Click on Add Comment. A comment box will appear.

Comments +Add Comment						
Comment	Date Added	Owner				
1	Now	You				
Save Cancel						
		Ý				
		Close				

Enter the comment in the response box and click **Save**. Additional comments can be added by clicking on **Add Comment** again.

Click on the **Close** button to return to the form section.

The number of comments added to that section of the form will appear in the speech bubble and will also appear in the Comments action icon.



#### **ACCESSING REVIEWER (HREC'S) COMMENTS**

After the ethics committee has reviewed the project, the Ethics Secretariat will add the reviewers' comments to the HREA form and return the project to the Applicant side of the system.

The Review Status of the project will be Unlocked.

To access the reviewers' comments, click on the **Reviewer Comments** action icon.

Actions HREA Applicant Guide Pr			<b>HREA</b> Applicant G	uide Project		
Project	Create Subform	] Reviewer Comments	Project Tree 🗸			
<b>Share</b>	Roles	Completeness Check	HREA Applicant Guide Project     HREA	)		
A Automatic Submission	Refresh	View as PDF	Action Required	Status	Review Reference	Date Modified
Correspond	Limport Xml		Yes	HE - Unlocked	52021951724408	02/02/2021 11:36

The **Overall Reviewer Panel Comments** screen will appear. This screen will list one or more reviewer comments.

Overall Reviewer Panel Comments ×							
Title	Comment	Shov Date Added	v Previous Comments				
Q1.1 What is the Project Title (as presented in the Project Description/Protocol)? *	Test comment	05/02/2021 at 10:57 AM	Latest Submission				
			Close				

The reviewer comment will also appear in a speech bubble at the top right-hand side of the relevant section. Click on the speech bubble on the left. (The speech bubble on the right contains comments on the project from team members).

HREA	<b>Reviewers Comments</b>
Project Overview	
Q1.1 What is the Project Title (as presented in the Project Description/Protocol)? *	. <
<ul><li>Minimise the use of acronyms where possible.</li><li>Please keep the total number of characters below 2000.</li></ul>	Collaborators Comments
	<

Preparing your Response to the Reveiwers Coments

#### Your response will need to include:

- A Word or pdf document directly addressing each of the Reviewers' comments should be uploaded to the uploads/attachments section of the form.
- A tracked and clean copy of all revised documents uploaded the uploads/attachments section of the form.
- Amendments (changes made directly on the application) to relevant sections of the form in line with the reviewers' comments.

To amend a response in the form, click on the section of the form you wish to change. Click on the text box/question and edit the content or change the response from the options available in the section.

Click the **Save** action icon to save the response.

When the response is ready for review, please complete the signature process in the Declaration – CI/PI/LI section of the form. From here you will be able to submit the changes for review.

#### ACCESSING THE APPROVAL LETTER

After the Human Research Ethics Committee has reviewed and approved your response, the Ethics Secretariat will send the approval letter to the Applicant side of the system. You will receive a notification that the application has been approved and you will receive an approval letter as an attachment via the Notifications tile in your Work Area.

Notif	ications
Search	
Received after	Received before
Display	Please note that only the specified number of notifications will show after searching.
•	<ul> <li>Message</li> <li>Dear Applicant, The above application has been approved. Please see the attached approval letter. Kind regards,</li> <li>HREA Applicant Guide Project</li> </ul>

Click on the attachment symbol and the attachment will appear.

Notif				_	
Search		Attachment	x		
Received after Display		Office of the Deputy Vice-Chancellor (Research) Research forvion Research forvion Statement Tailways The Statement of the Statement The Statement of the Statement The Statement of the Statement Statement of the Statement Office Statement Statement Office Statement Statement	MACQUARIE University	*	
ereprey.		05/02/2021			
	Search				
		Dear Miss Alex Carey-Whit	e,		
0		Reference No:52021951	724453		
	Dear Applicant, The above application has been approved. Please see the attach approval letter. Kind regards	Title: 9517 HREA Applic			11:18 AM 🛛 🕷
	<ul> <li>Your application has been submitted</li> </ul>				11:13 AM 🗙
a •	Associate Professor Michael Hitchens has assigned you a role in their project	Thank you for submittin	g the above application for ethical and	er	04/02/2021 🗰
<b>•</b> •	Dear Applicant, The above application has been approved. Please see the attach approval letter. Kind regards	Committee HREC Medica	arie University Human Research Ethics I Sciences Committee considered your		04/02/2021 🗙
0 *	<ul> <li>Dr Mehmet Mahmut has assigned you a role in their project</li> </ul>	application.			04/02/2021
	Associate Professor Simon Boag has assigned you a role in their project		at ethical and scientific approval has been		03/02/2021
a 🖌	Mrs Catherine Riley has assigned youra role in their project	granted for this project	to be conducted by Miss Alex Carey-	*	03/02/2021 🕱
	<ul> <li>Your application has been submitted</li> </ul>		Download Close		02/02/2021
B *	Dr Joanne Faulkner has assigned you a role in their project				01/02/2021 🗱
B *	<ul> <li>Professor Ingrid Piller has assigned you a role in their project.</li> </ul>				31/01/2021 🗰
<u> </u>	Associate Professor Fay Hadley has assigned you a role in their project				29/01/2021 🗰
_ <b>⊡</b> ≁	Dr Titla Benders has assigned you a role in their project				28/01/2021 🗙
	Or Ben Searie has assigned you a role in their project				19/01/2021 🕷
- 201	Professor Viviana Wuthrich has assigned you a role in their project.				18/01/2021 🗙
• •	Professor Viviana Wuthrich has assigned you a role in their project				18/01/2021 🗶
	Associate Professor Lawrence Ang has assigned you a role in their project				13/01/2021 🕷
100	Professor Anand Deva has assigned you a role in their project				13/01/2021 🗰
-	Professor Amanda Wise has assigned you a role in their project				12/01/2021 🗰
100	Associate Professor Annabelle Lukin has assigned you a role in their project				12/01/2021 🕷
151 -	Dr Tim Dovie has assigned you a role in their project				12/01/2021 20

Download a copy of the approval letter by clicking the **Download** button.

#### **REQUESTING AN AMENDMENT TO AN APPROVED PROJECT**

All approved applications are locked and cannot be edited. You will need to request that the project be unlocked so that an amendment can be submitted. A request to unlock the application can be made to the Ethics Secretariat via the **Correspond**  $\bowtie$  action tile in the project or via the **Correspondence** tab in the project.

Click on the Correspondence tab or Correspondence tile.

	Actions		HREA Applica	IREA Applicant Guide Project								
Project	Create Subform	1 Reviewer Comments	Project Tree 🗸									
Share	Roles	View as PDF	HREA Applicant Gui     HREA	de Project								
Correspond	Import Xml		Action Required		Status			Review Reference	ce		Date Modified	
$\square$			No		HE - Appro	ved		52021951724453	I.		05/02/2021 11:07	
				Navigation	Documents	Signatures	Collaborators	Submissions	Correspondence	Centre	History	

Click on **New Correspondence** then type your request to unlock the project for amendment into the box (below) that opens and click on the **Send** button.

Correspond	×
Note: This message will be delivered to members of HREC Medical Sciences Committee.	
Could you please unlock this application for amendment? Thanks, Alex	
Choose Files No file chosen	
Send	lose

The Ethics Secretariat's reply will appear above your message, as follows:

Action Required		Status			Review Reference	e		Date Modified	
Yes		HE - Unlocked		52021951724453 05/02/20					07
	Navigation	Documents	Signatures	Collaborators	Submissions	Correspondence	Centre	History	
Correspondence	+	New Corres	pondence						
Search correspondence									
User	♦ Date	9	Message						÷
Review user	05/02/2	2021	Hi there, The ap	plication is now unlocke	d. Kind regard				
Miss Alex Carey-White	05/02/2	2021	Could you pleas	e unlock this application	for amendment? T				
Showing 1 to 2 of 2 entries									

To see the content of the Ethics Secretariat's reply, click on the link under the **Message** heading.

To send a new message, click on the Close button and click on New Correspondence. When the project is unlocked, the Review Status of the project will be **Unlocked**.

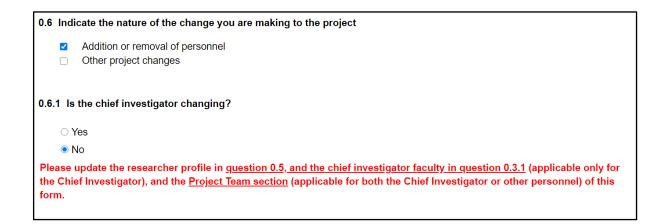
#### COMPLETING AN AMENDMENT REQUEST IN THE HREA FORM

Select the project that you wish to amend from the list of **Projects** in the Work Area screen (after you have requested it to be unlocked).

Click on Section 1 (MQ Filter Questions) of the form and change the response to **question 0.1** to state **Amendment request** (not New Application). Click on the **Save** action icon. This action will trigger the appearance of **question 0.6** (**Amendments**) where the details of the amendment request can be provided. To access question 0.6, scroll down the page to the bottom after answering question 0.1.

	Actions		HREA	9517 Version: v1./1
۲		1		volaion, vi, ri
Previous	Next	Navigate	Filter Questions	0
View as PDF	Documents	Signatures	0.1 Please identify the purpose of this application	
E Save	Share	<b>R</b> oles	<ul> <li>New application</li> <li>Amendment request</li> </ul>	
2	~	1 Deviewer	0.2 Project Title (Short Version)	<
Collaborators	Completeness Check	Reviewer Comments	HREA Applicant Guide Project	

In question 0.6, select the type of amendment you wish to make – personnel changes, other, or both. If you select additional or removal of personnel, you will be asked to indicate whether the CI is changing before being redirected to question 0.5 to fill in or remove personnel details. See screenshot below:



If you select other project changes, the following questions will appear and **must** be answered:

0.6 Indicate the nature of the change you are making to the project	
Addition or removal of personnel	
Other project changes	
0.6.2 Please explain the changes that are intended and the rationale for making these changes	0
0.6.3 Are there any inconveniences or risks arising from the proposed changes?	0
⊖ Yes	
○ No	
0.6.4 Please list all clean and tracked copies of amended documents and why they were changed	A

Applicants then complete the form by answering all questions and by clicking the  $\bigcirc$  **Next** button to move through the form. The sign-off process for an amendment involves the CI sign off in the declaration section.

When the amendment is approved, the system will send you an email notifying you that the amendment has been approved. This will also appear in the Notifications tile.

#### SUBMITTING AN ADVERSE EVENT SUB-FORM

The Adverse Event Form is a sub-form of the main form. Click on the  $\bigoplus$  **Create Sub-form** action tile in the project.

Actions	HREA Applie	HREA Applicant Guide Project v.2 9855									
Project Create Subform	Project Tree 🗸	ct Tree ✔									
Roles View as PDF Correspon	HREA Applicant	Guide Project v.2									
import Xml	Action Required		Status			Review Reference	C9		Date Modified		
	No	No HE - Approved 52021995525260 2503/2021 10.37									
		Navigation	Documents	Signatures	Collaborators	Submissions	Correspondence	Centre	History		

Select the HREA ADVERSE EVENTS v.1.0. from the drop-down selection

A pop up will then advise you that the form will be shared with a list of people (from the Ethics Secretariat). Tick the confirmation box to continue.

Create Subform	×
Select the sub-form that you would like to apply to this form	
HREA Adverse Events v1.0	
<ul> <li>This form will automatically be shared with:</li> <li>Jennifer Rowland [Human Ethics Secretariat]</li> <li>Fran Thorp [Human Ethics Secretariat]</li> <li>Dione Pham [Human Ethics Secretariat]</li> <li>Please tick to confirm you are happy to continue*</li> </ul>	
C	Create Close

Click on the **Create** button. The Adverse Event Sub-form will appear in the Project Tree at the top of the Work Area and the form will appear in the Navigation tab.

Not all the sections of the Sub-form will be displayed at first.

To activate the rest of the sections of the form click into section **0.3 Acknowledgement** and tick the acknowledgement box. The information will be automatically saved.

The system will auto-populate details of the personnel listed in the main form into section 1.1 of the Adverse Event Report sub-form.

Complete all section of the form. Sign-off on the form in section 5.3, before clicking submit.

#### SUBMITTING AN ANNUAL/FINAL REPORT SUB-FORM

The Annual/Final Report is a sub-form of the main form. Click on the **Create Sub-form** action icon in the project. Select the *HREA ANNUAL/FINAL REPORT v.1.o.* from the Drop-down options.

A pop up will then advise you that the form will be shared with a list of people (from the Ethics Secretariat). Tick the confirmation box to continue.

Click on the **Create** button.

The Annual/Final Report sub-form will appear in the Project Tree at the top of the Work Area and the form will appear in the Navigation tab.

Not all the sections of the Sub-form will be displayed at first.

To activate other sections of the form, click into **section 0.3 Acknowledgement** and tick the acknowledgement box. The information will be automatically saved.

Click on Question 1.1 in Section 1 to select the type of report that you wish to submit, i.e. Annual Report or Final Report. This triggers sections relevant to the type of form chosen, i.e. **section 2.1 for an Annual Report** or section **3.1 for a Final report**. Please see the screenshot below.

1.1 Report Details
1.1.1 Please nominate the type of report being submitted
<ul> <li>Annual Report</li> <li>Final report</li> </ul>

The system will auto-populate details of the personnel listed in the main form into section 1.1 of the Annual/Final report sub-form.

Complete all section of the form. Sign-off on the form in section 5.3, before clicking submit.

#### **PART 2: CONTACTS AND MORE INFORMATION**

#### HUMAN RESEARCH ETHICS TEAM



#### **RESOURCES AND FURTHER INFORMATION**

For access to the National Statement for the Ethical Conduct in Human Research click this link

 $\underline{https://www.nhmrc.gov.au/about-us/publications/national-statement-ethical-conduct-human-research-2007-updated-2018}$ 

For further information, resources, templates, and important dates please go to the MQ Human Ethics Website

https://www.mq.edu.au/research/ethics-integrity-and-policies/ethics/human-ethics

For more guides and FAQ's visit the MQ Human Ethics Wiki

https://wiki.mq.edu.au/login.action?os\_destination=%2Fpages%2Fviewpage.action%3FpageId%3 D271189535

For submitting a HASS Ethics application go to the Human Research Management System

<u>https://ethics-and-biosafety-</u> <u>form.mq.edu.au/Account/Login?ReturnUrl=/Account/Login?ReturnUrl=%2FHome%2FIndex</u>