# Joint Energy and Communication Scheduling for Wireless Powered Networks

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June 16, 2014

### Related Research Areas of Wireless Powered Communications



### Wireless Powered Communication: Network Architectures



## A Generic UL/DL System Model [1]



□ The received baseband-equivalent signal at a receiver

 $\mathbf{y} = \mathbf{H}\mathbf{x} + \mathbf{e}$ 

□ If used for energy harvesting (EH), the harvested power is

 $E = \mathrm{E}\left[\alpha ||\mathbf{H}\mathbf{x}||^2\right] = \alpha \cdot \mathrm{trace}\left(\mathbf{H}\mathbf{Q}\mathbf{H}^H\right)$ 

□ If used for information decoding (ID), the achievable data rate is

$$R = \log \det \left( \mathbf{I} + \frac{1}{\sigma^2} \mathbf{H} \mathbf{Q} \mathbf{H}^H \right)$$

In practice, a receiver cannot harvest energy and decode information simultaneously.

#### **Operating Mode 1: WPT**

□ Wireless power transfer (WPT)

- Only power transfer in one direction
- Continuous and controllable (vs. ambient RF and other environment energy harvesting, intermittent and random)
- Application: mobile device and sensor charging, etc.
- Technologies available (to be detailed)
  - ✓ Inductive coupling
  - ✓ Coupled magnetic resonance
  - ✓ EM radiation



#### **Operating Mode 2: SWIPT**

□ Simultaneous wireless information and power transfer (SWIPT) [1]

- Info & energy transmit simultaneously in DL
- Under limited signal power and bandwidth (vs. power-line communication)
- Applications: heterogeneous EH and ID receivers, simultaneous ID and EH at one receiver, etc.
- Rate-and-energy tradeoff
- Separate or co-located ID and EH receivers



#### Operating Mode 3: WPCN (focus of this talk)

□ Wireless powered communication network (WPCN) [2]

- DL: wireless power transfer
- UL: Information transfer with wireless harvested energy
- Applications: sensor network charging and info collection [3], RFID, etc.
- Power consumptions at the energy receiver
  - ✓ Sensing and info processing
  - ✓ UL info transmission



Phase I: DL energy transfer

Phase II: UL information transfer

## Agenda

• Single-Antenna Wireless Powered Communication Network

• Multi-Antenna Wireless Powered Communication Network

• Extension and Future Work

#### System Model



- Wireless power transfer (WPT) from H-AP to users in DL
- □ Wireless information transmission (WIT) from users to H-AP in UL by TDMA

#### Harvest-then-Transmit-Protocol [2]



WPT in DL

- $\blacktriangleright$  Energy broadcast with time duration  $\tau_0$
- Energy harvested by user *i*:  $E_i = \zeta_i P_A h_i \tau_0$ ,  $i = 1, \dots, K$

U WIT in UL

- **TDMA**, each user with time duration  $\tau_i$
- Fransmit power at user *i*:  $P_i = \frac{\eta_i E_i}{\tau_i}$
- > Achievable rate of user *i*:

$$R_{i}(\boldsymbol{\tau}) = \tau_{i} \log_{2} \left( 1 + \frac{g_{i} P_{i}}{\Gamma \sigma^{2}} \right) = \tau_{i} \log_{2} \left( 1 + \gamma_{i} \frac{\tau_{0}}{\tau_{i}} \right)$$

$$\zeta_{h_{i} g_{i}} P_{A}$$

where  $\gamma_i = \frac{\zeta \mu_i g_i P_A}{\Gamma \sigma^2}$  is effective channel accounting for both DL and UL channels Trade-off: rate per user increases with both DL and UL time allocated given

a total time constraint: 
$$\sum_{i=0}^{N} \tau_i \leq \tau_i$$

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Optimal DL vs. UL time allocation?

#### **Sum-Throughput Maximization**

#### □ Problem formulation

- Convex optimization problem
  - ✓ Objective function: concave
  - ✓ Constraints: linear

$$\begin{array}{ll} \max_{\boldsymbol{\tau}} & R_{\text{sum}}\left(\boldsymbol{\tau}\right) = \sum_{i=1}^{K} R_{i}\left(\boldsymbol{\tau}\right) \\ \text{s.t.} & \sum_{i=0}^{K} \tau_{i} \leq 1, \\ & \tau_{i} \geq 0, \quad i = 0, \ 1, \ \cdots \ K. \end{array}$$

- Closed-form optimal solution
- > Time allocated to DL WPT  $\tau_0^*$  and users in UL WIT  $\tau_i^*$ 's should be all non-zero
- $\blacktriangleright \ \tau_0^* \, {\rm decreases} \ {\rm with} \ A$  ,  $\tau_i^* \, {\rm increases} \ {\rm with} \ A$
- Ratio between time allocated to two users in UL WIT:  $\frac{\tau_i}{\tau_j} = \frac{\gamma_i}{\gamma_j} = \frac{h_i g_i}{h_j g_j}$  doubly near-far problem

$$\tau_i^* = \begin{cases} \frac{z^* - 1}{A + z^* - 1} &, & i = 0\\ \frac{\gamma_i}{A + z^* - 1} &, & i = 1, \cdots, K \end{cases}$$

where  $A \stackrel{\Delta}{=} \sum_{i=1}^{K} \gamma_i$  is the sum of users' effective channel gains,  $z^* > 1$  is constant satisfying  $z \ln z - z + 1 = A$ 

#### **Doubly Near-Far Problem**



**Doubly near-far problem:**  $\gamma_i = \frac{\zeta h_i g_i P_A}{\Gamma \sigma^2}$ 

- Distance-dependent signal attenuation in both DL and UL
  - ✓ "Near" user harvests more energy in DL and has less power loss in UL
  - ✓ "Far" user harvests less energy in DL but has more power loss in UL
- Unfair time and rate allocation among users

#### **Doubly Near-Far Problem**



Rate ratio (user 2 over user 1) decreases twice faster in the logarithm scale than conventional TDMA (with constant transmit power) due to doubly near-far problem

- Wireless powered communication network:  $\tau_i^* \propto D_i^{-(\alpha_d + \alpha_u)}$
- > TDMA network:  $\tau_i^* \propto D_i^{-\alpha_u}$
- Fairness issue needs to be solved

#### **Common-Throughput Maximization**

#### Problem formulation

- Convex optimization problem
  - ✓ Objective function: single variable
  - ✓ Constraints: all convex
- Closed-form optimal solution not available
- Proposed optimal solution
  - Use bisection method
  - Siven  $\bar{R}$ , solve a convex feasibility problem
- □ With optimal solution
  - Equal throughput for all users is ensured

$$\max_{\bar{R}, \tau} \bar{R}$$
  
s.t.  $R_i(\tau) \ge \bar{R}, \quad i = 1 \cdots K$   
$$\sum_{i=0}^{K} \tau_i \le 1,$$
  
 $\tau_i \ge 0, \quad i = 0, \ 1, \ \cdots \ K.$ 

Find 
$$\boldsymbol{\tau}$$
  
s.t.  $R_i(\boldsymbol{\tau}) \ge \bar{R}, \ i = 1, \cdots, K,$   
 $\sum_{i=0}^{K} \tau_i \le 1,$   
 $\tau_i \ge 0, \ i = 0, \ 1, \ \cdots K.$ 

#### Common-Throughput versus Sum-Throughput



Two users with distance  $D_1 = \frac{1}{2}D_2$ 

- More time allocated to far user, i.e., user 2
- □ Fairness achieved, but sum-throughput reduced

#### Common-Throughput versus Sum-Throughput



□ Time allocation ratio between (far) user 2 and (near) user 1,  $\tau_2^*/\tau_1^*$ , increases with  $\alpha$  in (P2), but decreases with  $\alpha$  in (P1) (to tackle the more severe doubly near-far problem)

#### **Simulation Result**



□ As pathloss increases,

- Sum-throughput maximization: user 1's throughput converges to sumthroughput, user 2' throughput approaches zero
- Common-throughput maximization: both users' throughput decrease quickly towards zero

### Summary

Sum-throughput maximization in single-antenna wireless powered communication network (WPCN)

- Trade-off in UL-DL time allocations
- Trade-off in UL time/power allocations among users
  - ✓ Doubly near-far problem
- □ Common-throughput maximization in single-antenna WPCN
  - Allocate more time/power to far users
- □ Trade-off between sum-throughput and user fairness

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### System Model [4]



One H-AP with *M*>1 antennas
 *K* single-antenna user terminals

Wireless power transfer (WPT) in DL
 Wireless information transmission (WIT) in UL

#### Single-Antenna versus Multi-Antenna WPCN



□ WPT in DL: isotropic energy transmission

- WIT in UL: TDMA
- Design parameter: time/power allocation



- □ WPT in DL: energy beamforming
  - Higher WPT efficiency than SISO
  - Adjust beam weights to control energy transferred to near/far users: better fairness

WIT in UL: SDMA

- Higher spectrum efficiency than TDMA
- Interference mitigation via receive beamforming
- Design parameters: time/power allocation and transmit/receive beamforming

#### Revised Harvest-then-Transmit Protocol (1)



• WPT in DL:

**H-AP sends**  $l \leq M$  energy beams:

$$oldsymbol{x}_0 = \sum_{i=1}^l oldsymbol{v}_i s^{ ext{dl}}_i$$

Energy harvested by user k:

$$E_k$$
=  $\epsilon \tau \sum_{i=1}^l |\boldsymbol{g}_k^H \boldsymbol{v}_i|^2$  ——

controllable by adjusting energy beams

#### Revised Harvest-then-Transmit Protocol (2)



#### **Common-Throughput Maximization**

#### Problem formulation

- Common-throughput maximization
- Joint optimization of DL-UL time allocation, DL energy beamforming, UL power control and receive beamforming (MMSE)
- Non-convex optimization problem
  - ✓ Objective function: non-concave
  - ✓ UL power constraints: non-convex

$$\begin{split} \max_{\tau, \boldsymbol{p}, \boldsymbol{W}, \boldsymbol{V}} & \min_{1 \leq k \leq K} (1 - \tau) \log_2 \left( 1 + \gamma_k(\boldsymbol{p}, \boldsymbol{w}_k) \right) \\ \text{s.t.} & 0 < \tau < 1, \\ & p_k \leq \bar{P}_k(\boldsymbol{V}, \tau), \ \forall k, \\ & \sum_{i=1}^l \|\boldsymbol{v}_i\|^2 \leq P_{\text{sum}}. \end{split}$$

#### **Optimal Solution**



- ✓ Main difficulty: coupled UL power control and DL energy beamforming (conventional UL-DL duality not applicable here)
- ✓ Optimal solution based on alternating optimization and non-negative matrix theory (see [4] for details)
- $\blacktriangleright$  Let  $g(\bar{\tau})$  denote optimal value given  $\bar{\tau}$ . Solve

$$R^* = \max_{0 < \bar{\tau} < 1} (1 - \bar{\tau}) \log_2(1 + g(\bar{\tau}))$$

✓ One-dimension search

#### **Suboptimal Solutions**

 $\Box$  Main idea: Using ZF receivers rather than MMSE receivers for W

- $\blacktriangleright$  Remove inter-user interference in UL to decouple optimization of  $oldsymbol{W}$  with au ,  $oldsymbol{V}$  and  $oldsymbol{p}$
- **Suboptimal Solution 1**: Joint optimization of au, V, and p
  - Convex problem
  - Complexity still high
- **Suboptimal Solution 2**: Separate optimization of  $m{V}$  with au and  $m{p}$ 
  - Energy beamforming for weighted sum-energy maximization (closed-form rank-one solution available)

$$egin{array}{lll} extsf{Maximize} & \sum_{k=1}^K lpha_k \epsilon \left(\sum_{i=1}^l |oldsymbol{g}_k^H oldsymbol{v}_i|^2
ight) \ extsf{Subject to} & \sum_{i=1}^l \|oldsymbol{v}_i\|^2 \leq P_{ extsf{sum}}, \end{array}$$

with energy weights  $\alpha_k = 1/(\tilde{h}_k \| \boldsymbol{g}_k \|^2)$ 

 $\succ$  Joint optimization of au and p only (convex and efficiently solvable)

### Simulation Results (1)



Common throughput first increases then decreases over \(\aap\)
 MMSE receiver outperforms ZF receiver (Suboptimal Solution 1)

### Simulation Results (2)



- Common throughput decreases drastically with *d*: doubly near-far problem
- □ When *d* is small, ZF receiver performs close to optimal MMSE receiver
- **Q** Random energy beamforming has notable throughput loss when *d* is small

### Simulation Results (3)



One antenna reduces to the case of single-antenna WPCN
 Multi-antenna WPCN improves common throughput significantly over single-antenna WPCN

## Summary

Common-throughput maximization in multi-antenna wireless powered communication network (WPCN)

- Joint optimization of UL/DL time allocation, DL energy beamforming, UL transmit power allocation and receive beamforming
- □ Advantage over single-antenna WPCN
  - DL: energy beamforming
    - ✓ Higher power transfer efficiency
    - ✓ Controllable power delivery to each user
  - > UL: SDMA
    - ✓ Higher spectrum efficiency for WIT than TDMA

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## Full-Duplex WPCN [5]



□ Harvest-or-transmit protocol:

- ➤ K+1 time slots
- The 0th slot: only DL power transfer
- The *i*th (*i*>0) slot:
  - ✓ Only user *i* transmits information in UL
  - ✓ AP broadcasts power to all other users in DL and receives user *i*'s information in UL
- Objective: Joint optimization of AP's transmit power and time allocation to maximize weighted sum-rate subject to AP's average and peak power constraints

- Full-duplex (FD) AP: broadcasts energy in DL and receives information in UL at the same time and frequency
  - More efficient than half-duplex (HD) WPCN
  - Self-interference cancellation (SIC) needed at AP for decoding information
- □ AP is equipped with two antennas
  - One for broadcasting energy in DL
  - The other for receiving information in UL (simultaneously)
- K single-antenna users operating in half-duplex (TDD) mode



#### Full-Duplex versus Half-Duplex WPCN



□ With no peak power constraint, FD-WPCN and HD-WPCN achieve identical rate regions

- □ With finite peak power constraint, FD-WPCN achieves larger rate region than HD-WPCN
- □ Gain over HD-WPCN is more significant with stringent peak power constraint

#### User Cooperation in WPCN [6]



- □ Harvest-then-transmit protocol (improved):
  - DL wireless power transfer
  - UL wireless information transmission: TDMA
    - Phase I: user 1 (far user) transmits information, and both AP and user 2 decode
    - Phase II: user 2 relays user 1's message to AP
    - ✓ Phase III: user 2 transmits its own message to AP
- Objective: Joint optimization of time allocation and users' power allocation to maximize weighted sumrate

One AP and two users

User 2 is nearer to AP than user 1

In each block, user 2 uses part of time and

harvested energy to relay user 1's message to AP

- Overcome the doubly near-far issue
- Achieve better throughput and fairness trade-off



#### Performance Comparison with versus w/o User Cooperation



Rate region comparison with versus w/o user cooperation

- □ User cooperation always outperforms w/o user cooperation
- □ User 1 (far user)'s rate improvement is more significant with higher pass loss
  - Direct link from user 1 to AP dominates the network throughput

### Massive MIMO WPCN [7]

AP equipped with large No. of antennas, *K* single-antenna users

- Improve both wireless power transfer and information transmission efficiency
- Challenge: channel estimation



□ Harvest-then-transmit protocol (modified):

- Three-phase protocol: UL channel estimation, DL WPT, UL WIT
- UL channel estimation (assuming channel reciprocity holds):
  - ✓ Trade-off: channel estimation accuracy versus cost of time and energy
- DL WPT: energy beamforming based on estimated channels
- ➢ UL WIT: SDMA with MRC or ZF receiver at AP
- Objective: Common throughput optimization
  - Design parameters: time allocation, DL energy beamforming, power allocation between UL channel estimation and WIT
  - Asymptotic solution applies with large No. of antennas

### Large-Scale WPCN Capacity (1)



- Dual-function APs [9]: AP coordinates both information and power transfer
- Design parameters:
  - DL/UL time allocation
  - UL transmit power
- Objective: maximize network throughput subject to successful information transmission probability constraint

Hybrid cellular network: cellular network + power beacons (PBs) to power mobile devices [8]

Parameters:

- > p,q: the transmit power of BSs and PBs
- $\succ \lambda_b, \lambda_p$ : densities of PPP of BSs and PBs
- □ Objective : fix transmit power (p,q) and study effect of deployment  $(\lambda_b, \lambda_p)$  on network throughput subject to outage performance of information and power transfer



### Large-Scale WPCN Capacity (2)



#### □ Cognitive radio network [10]:

- Harvesting zone: secondary transmitter (ST) can harvest energy from any nearby primary transmitter (PT) if it is in PT's harvesting zone
- Guard zone: ST cannot transmit if it is in guard zone of any PT
- Objective: maximize the secondary network throughput subject to outage probability of both primary and secondary networks
  - Characterization of STs' transmit probability as well as network outage probability
  - Optimal STs' transmit power and density

### **Future Working Directions**

- □ Multi-cell and network level optimization
- Optimal trade-off between throughput and fairness
- Broadband channel with frequency selective fading
- Partial/imperfect CSIT
- □ Effect of battery with finite strorage capacity

#### **Concluding Remarks**

#### Wireless RF Powered Communication

> Many new design challenges in PHY, MAC, and Network layers

#### Hardware Development

Wireless power transfer (energy beamforming, high-efficiency rectenna, waveform design,...)

#### Applications

• • •

- Wireless sensor/M2M networks (IoT, IoE)
- Cellular networks (small cells? millimeter-wave?)

#### References

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